

# **OPTIX** Robust & Easy to Use Residual Gas Analysis for the Vacuum Industry

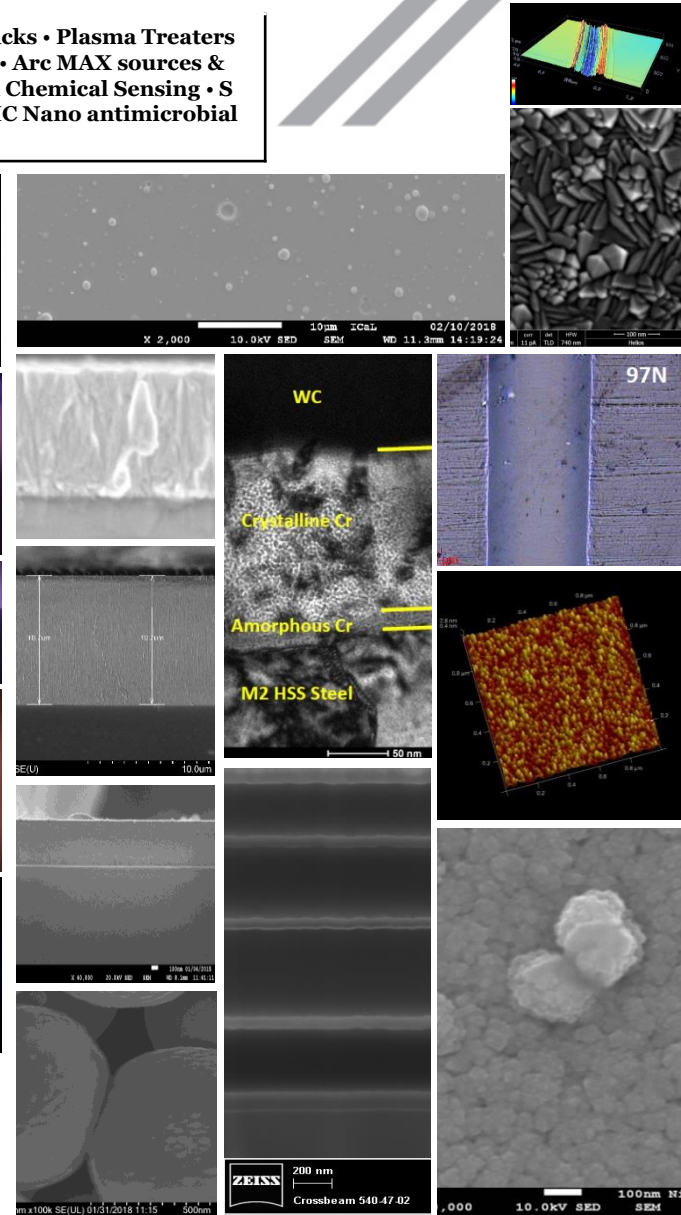
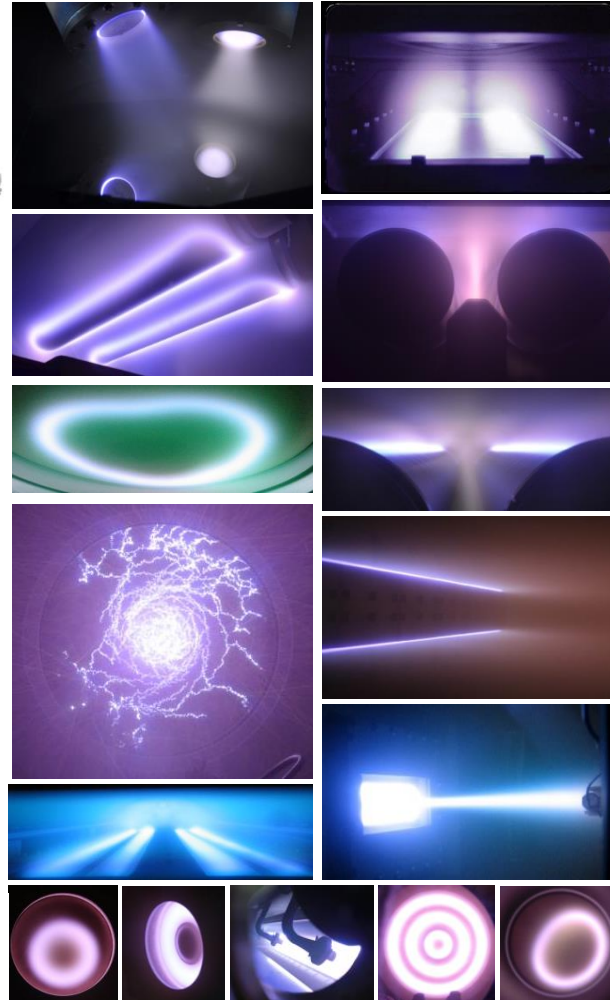


- **ALD**
- **Atmospheric vacuum sampling via roughing**
- **Contamination check**
- **CVD**
- **Etch Endpoint**
- **Heat Treatment**
- **Leak Detection (any species)**
- **Plasma Treatment**
- **Process Gas Analysis**
- **OLED**
- **MOCVD**
- **PVD**
- **Plasma Spraying**



# 23 Years of Products and Technology from Gencoa

Rotatable & Planar Magnetron Sputter Cathodes • Retrofit magnetic packs • Plasma Treaters • Speedflo Reactive Gas Controllers • IM Ion Sources & power supplies • Arc MAX sources & power supplies • Active Anodes and Gas Delivery Bars • OPTIX Gas and Chemical Sensing • S and Se Sensor • PEC Pulsed Effusion Cell • V<sup>+</sup>DLC - Transparent DLC • IC Nano antimicrobial layer technology • Process implementation & tuning •



# Why Monitor Your Vacuum Process ?

## Save Money by Avoiding Problems

- Identify vacuum or process problems before they have a financial impact
- OPTIX maps the process environment to ensure reliable production
- Improves quality of products and repeatability
- Outputs for better process control

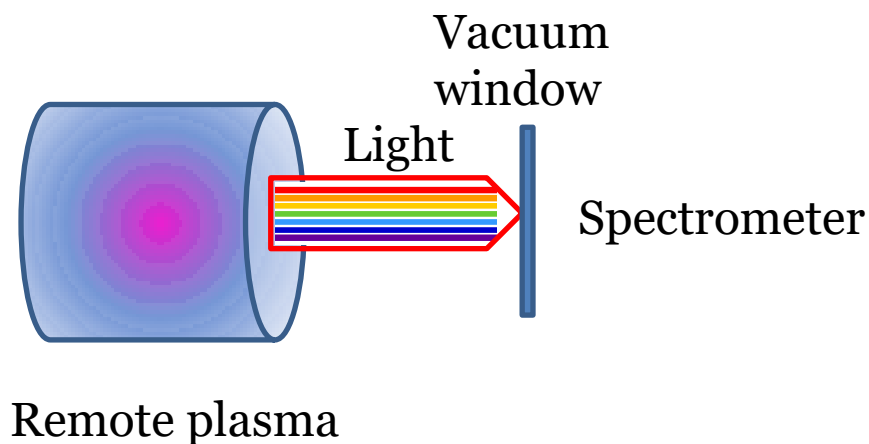


# OPTIX vs RGA

## OPTIX – remote plasma gas analysis (RPGA)

Optical method - high speed

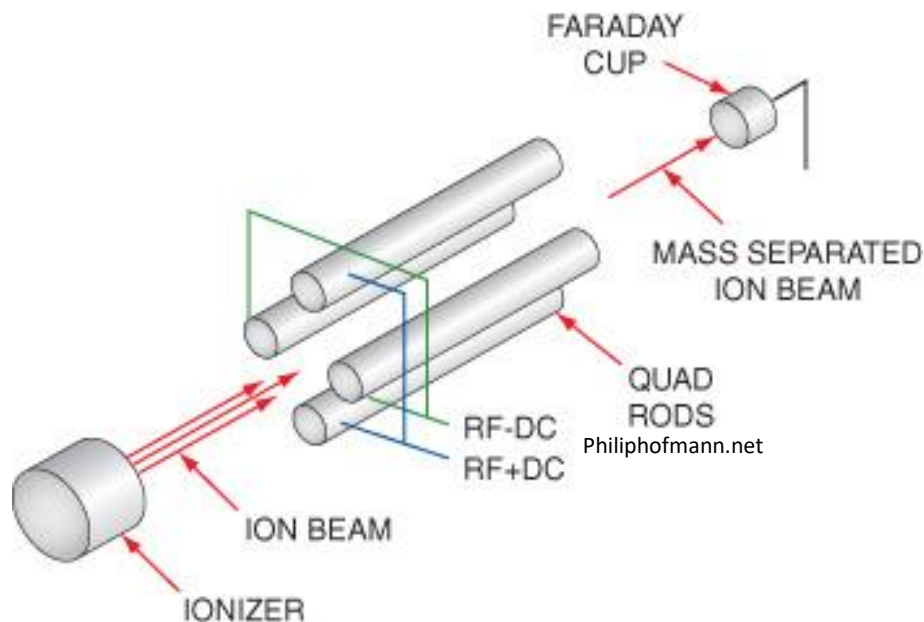
Detector outside of the vacuum – cannot be damaged by the vacuum environment



Low ppm detection

## Quadrupole Residual Gas Analyzers (RGAs)

Detector inside of the vacuum – will be damaged by the vacuum environment by high pressure, operator error, or contamination



Low ppm detection



# OPTIX vs RGA

| OPTIX – remote plasma gas analysis (RPGA) Optical method   | Quadrupole Residual Gas Analyzers (RGAs)   |
|--|--|
| Robust– detector separated from chemicals by optical window  | Detector in contact with chemicals – easy to contaminate, hard to clean              |
| No filaments –simple electrode geometry  | Filaments and ionizers are consumables   |
| Operates 0.5 to $10^{-6}$ mbar   | Only operates reliably down to $10^{-4}$ mbar  |
| Direct chamber monitoring – no need for differential pumping unless atmospheric sampling   | Higher than $10^{-4}$ mbar pressure needs differential pumping – loss of sensitivity |
| FAST – ‘speed of light’, 10-50 msec response   | Typically 0.5 to several seconds range   |
| Tolerates volatiles in the vacuum – hydrocarbons, solvents, long chain polymers  | Only small amounts of contamination before sensor failure                            |
| Wide range of useful software applications available – gas tracking, leak detection, pump-down monitoring, water tracker, end-point detection, multi-mode process tracking | Typically gas tracking & leak detection  |
| Sensor degassing mode – avoid false reading  | Yes, but degas can affect filament lifetime  |

# OPTIX Remote Plasma Gas Analysis RPGA

Vacuum process chamber  
0.5 to  $10^{-6}$  mbar or with a  
rotary pump to support  
atmospheric sensing

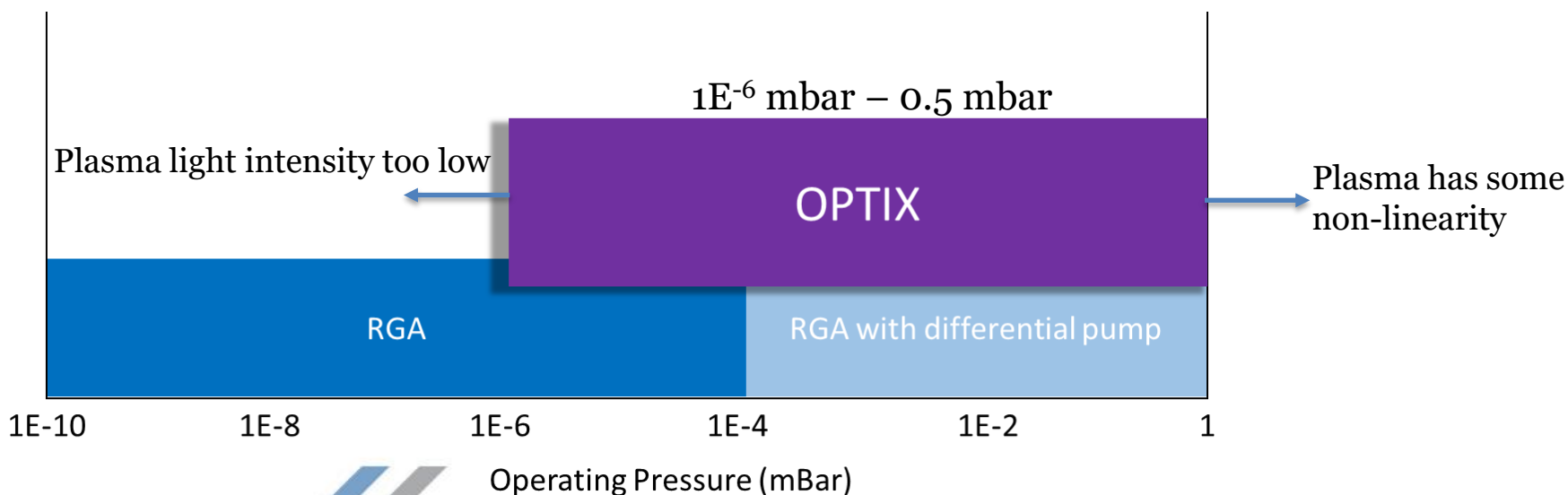
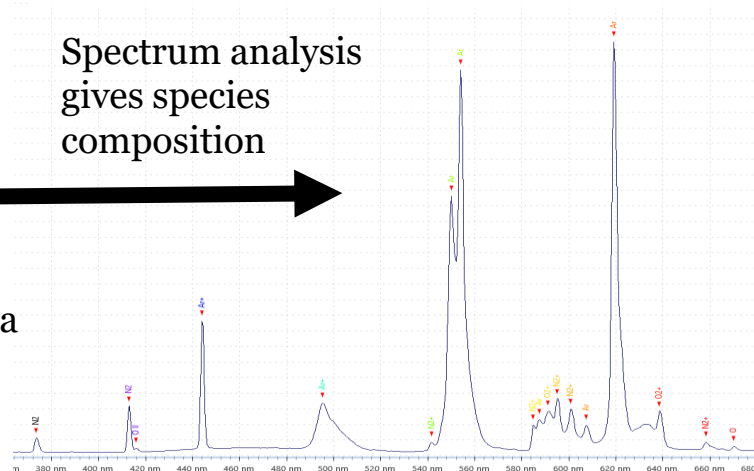
Wide pressure range  
remote plasma  
generator



High intensity plasma

Wide range spectrometer 200-850nm

Spectrum analysis  
gives species  
composition

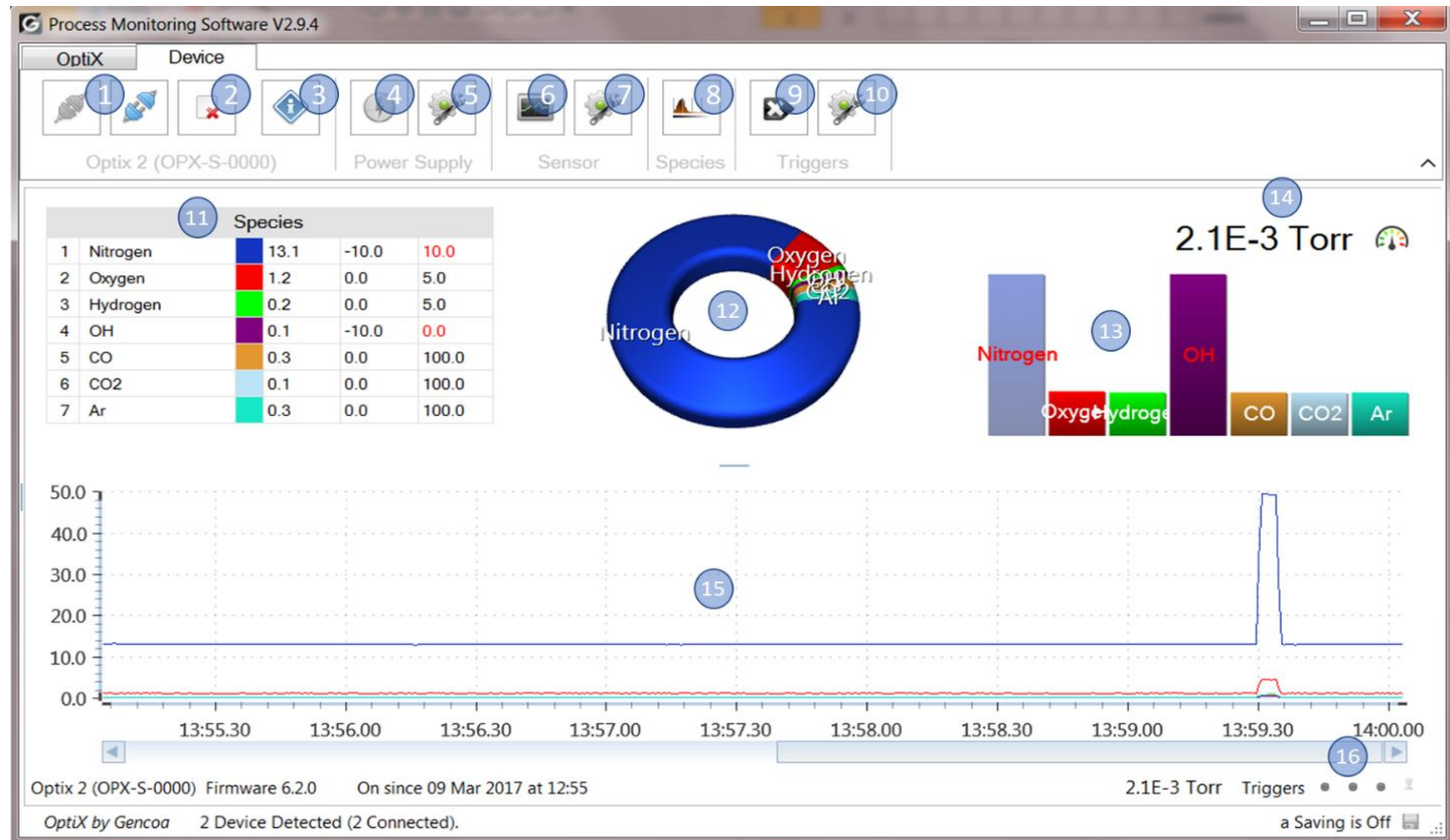


# OPTIX leak detection and new applications outside conventional RGA technology

Unlike RGA's the OPTIX detector is separated from the plasma and chemical environment by a physical window. Hence the detector is not affected by the nature of the media to be analyzed. As long as the plasma can be sustained & light is visible, chemical information can be obtained. This results in a much more rugged device.

| Leak checking                            | Volatile deposition processes | End-point               | Chemical analysis from atmosphere                      |
|--|-------------------------------|-------------------------|--|
| Other gases such as N <sub>2</sub>       | OLED, CVD                     | Etching                 | Gas chromatography                                     |
| Refrigerant and air conditioning systems | ALD, MOCVD                    | Process gas consumption | Liquid and fuels composition analysis                  |
| Fuels and oil leaks from components      | Flash evaporation             | Freeze drying           | APCI Atmospheric pressure chemical ionization analysis |

# OPTIX Software - Easy to use software with advanced process applications for plasma gas monitoring of vacuum – can be purchased with spectrometer head alone



1. Connect / Disconnect Remove Optix sensor
2. Optix Device settings
3. Turn the power supply on. Power supply settings.
4. View Spectrum plot.
5. Sensor settings. Species setup screen.

6. Clear triggers.
7. Trigger settings
8. Species table.
9. Species doughnut.
10. Trigger settings.
11. Species Table.

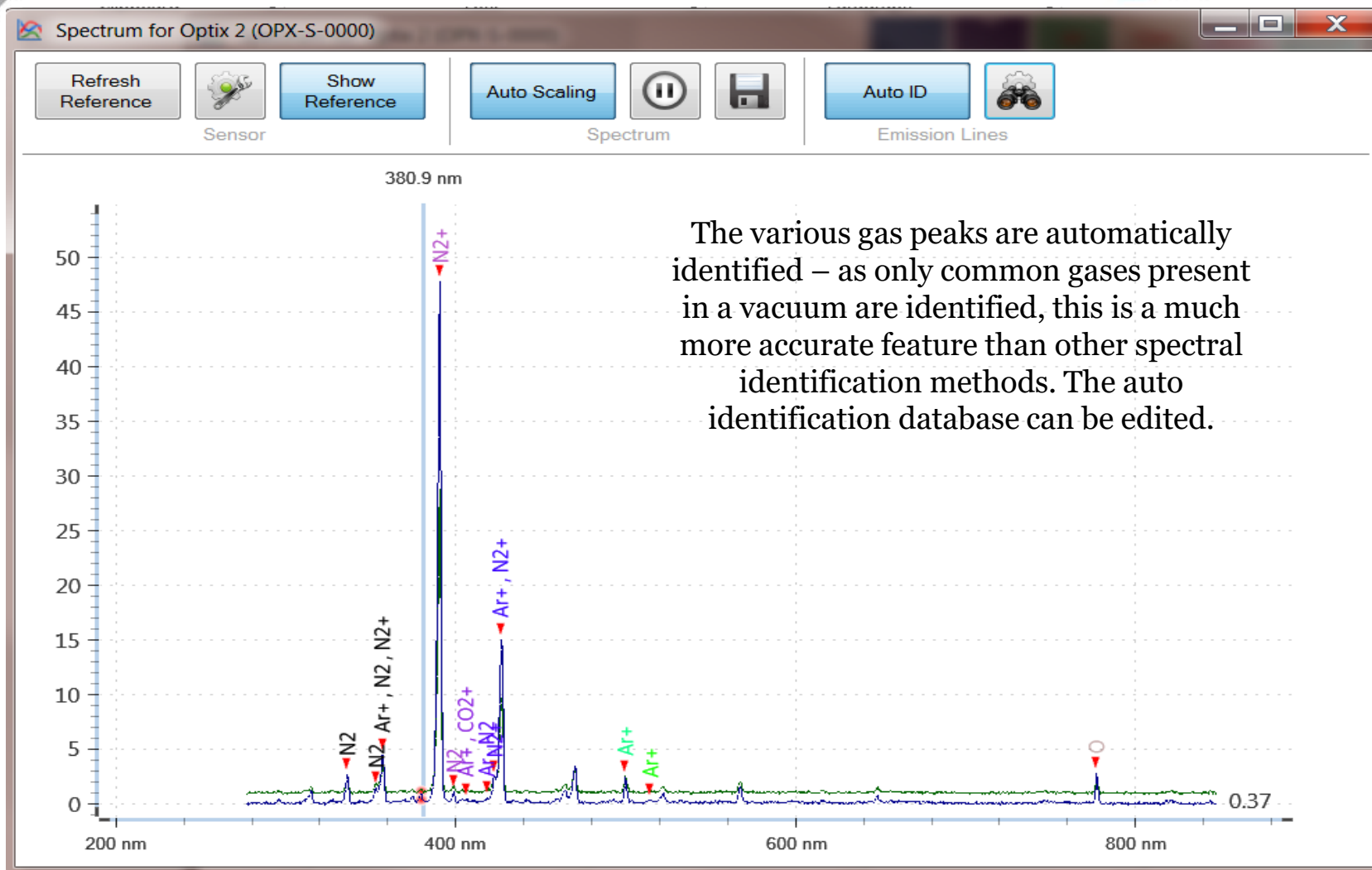
12. Species doughnut.
13. Species bar chart.
14. The current total pressure reading.
15. Species trend view.
16. Trigger status.



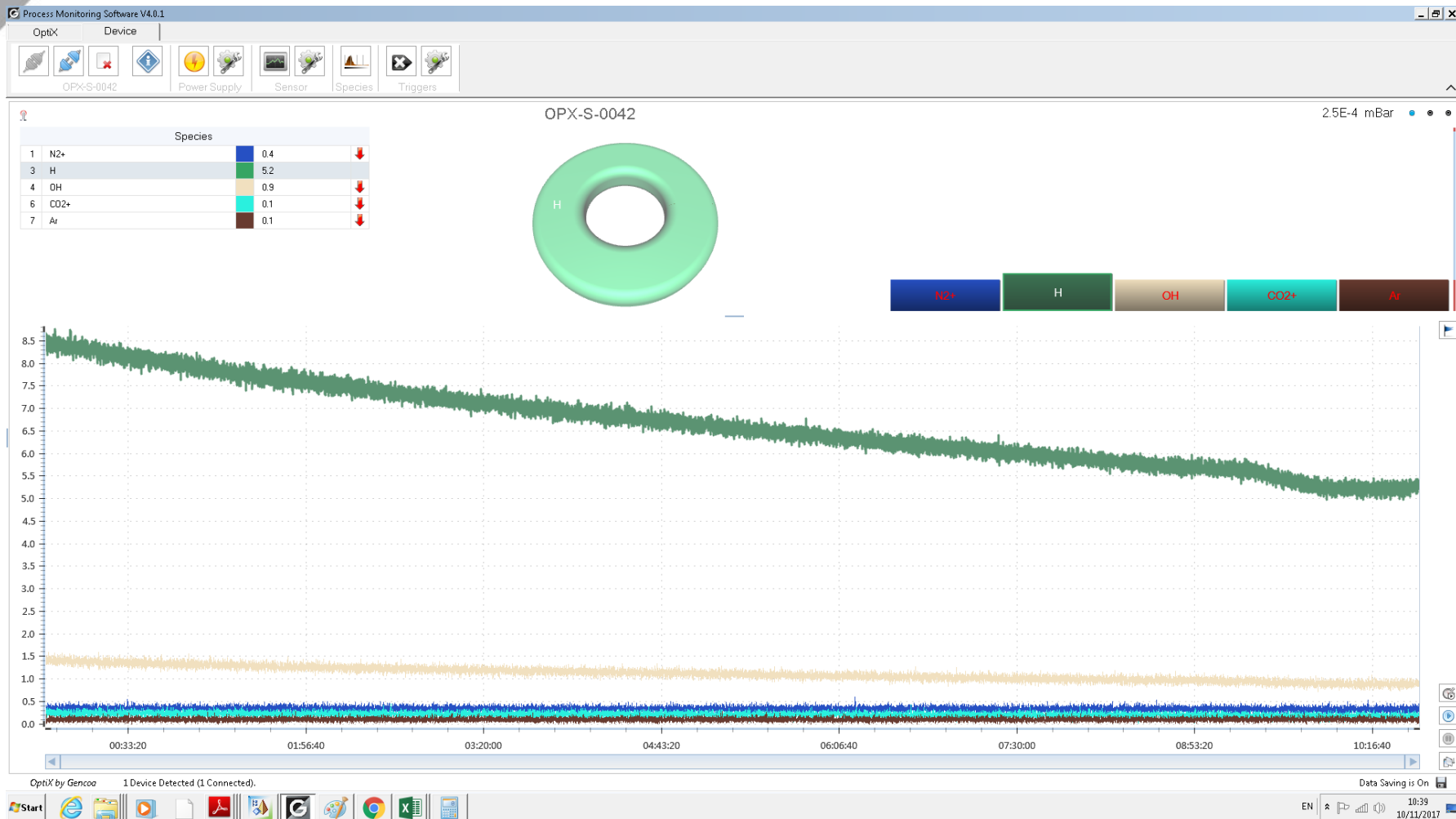
## OPTIX Software – Highly refined with the following features included

- Quantitative gas analysis down to 2 ppm (Pressure gauge added for QGA)
- Gas mixture balance – up to 8 gases – can be selected
- Process gas tracking with trigger / alarm outputs
- Full spectrum view 200-850nm, control of integration time for sensitivity adjustments
- Tuneable spectrum view – more focussed range
- Automatic gas peak detection – gas auto identification database can be adjusted to incorporate additional un-common peaks of specific interest
- Leak detection mode for any gas
- Process water tracker with triggers / alarm outputs
- Chamber pump-down tracker with triggers / alarm outputs
- Vacuum switch to prevent accidental operation at atmosphere
- In-built vacuum pressure reading
- Control of plasma generator to tune power parameters
- Multiple sensor monitoring
- Multiple language display options – English, Japanese, Chinese, French, Spanish

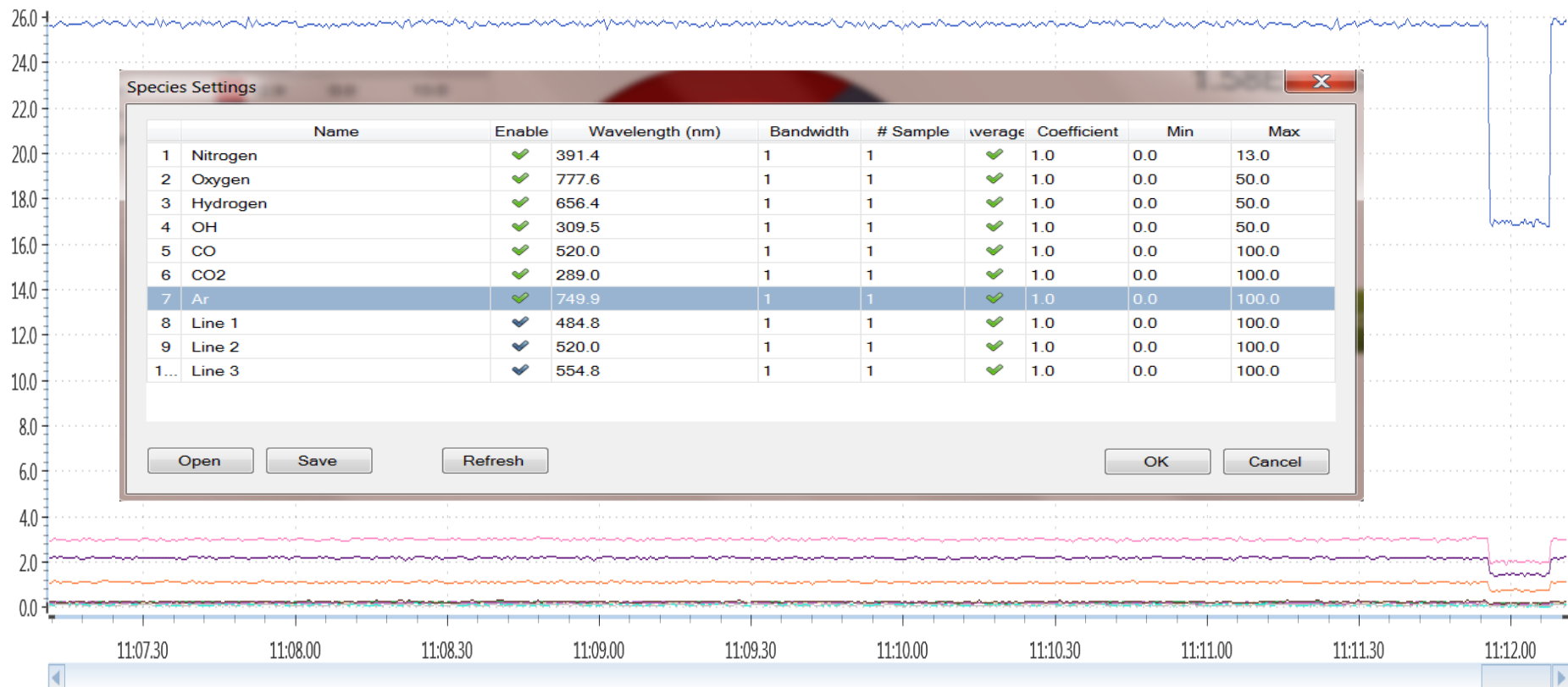
# Software Spectrum View - spectrum displays the constituent species of the plasma



# Software Gas Tracking View



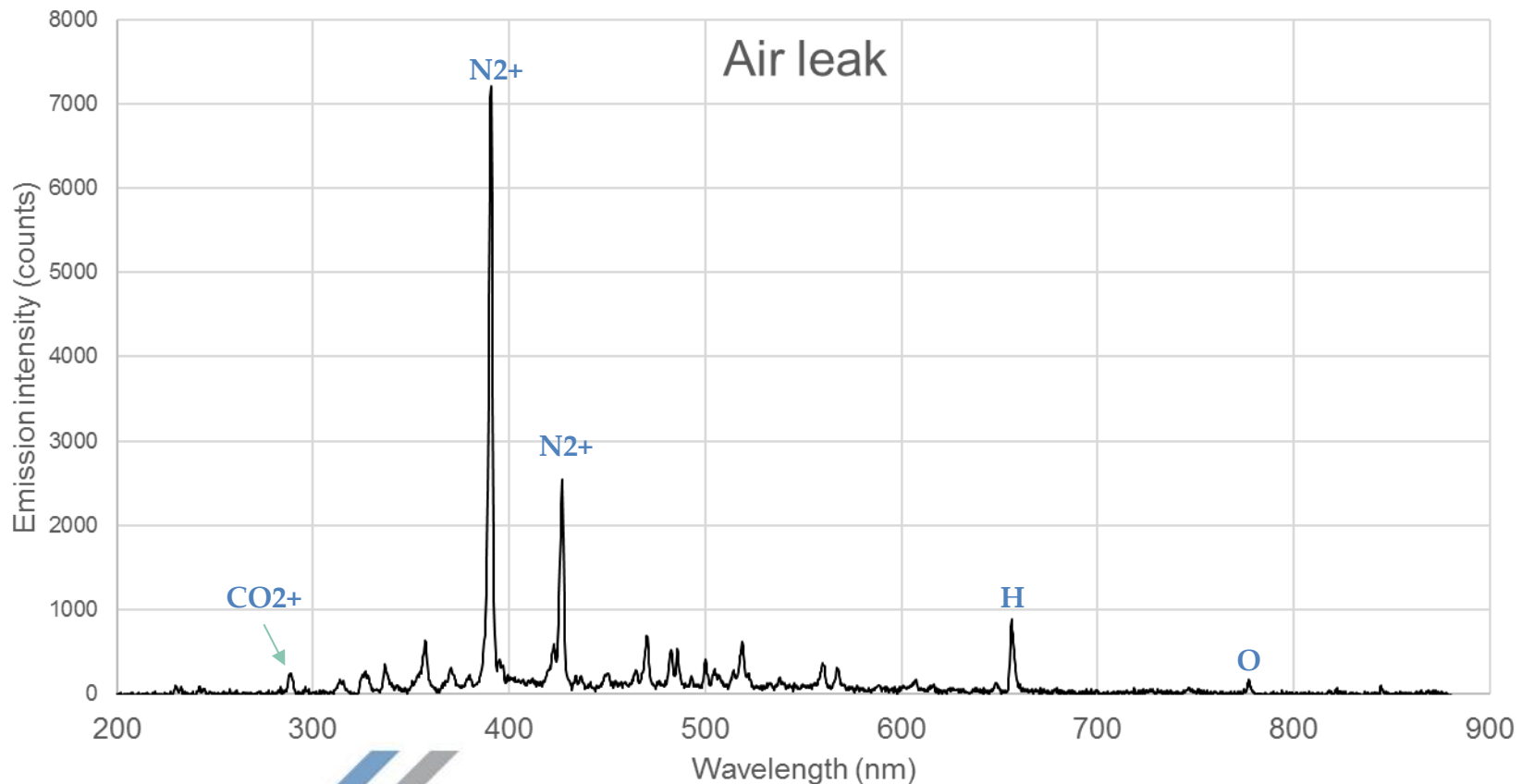
# OPTIX Software – an unlimited number of gas species can be monitored via the trend-line feature



# OPTIX RPGA Spectrum Interpretation

- A single leak can emit multiple emission lines showing the exact composition
- OPTIX automatically identifies the species which makes interpretation easier

## Example of an air leak:

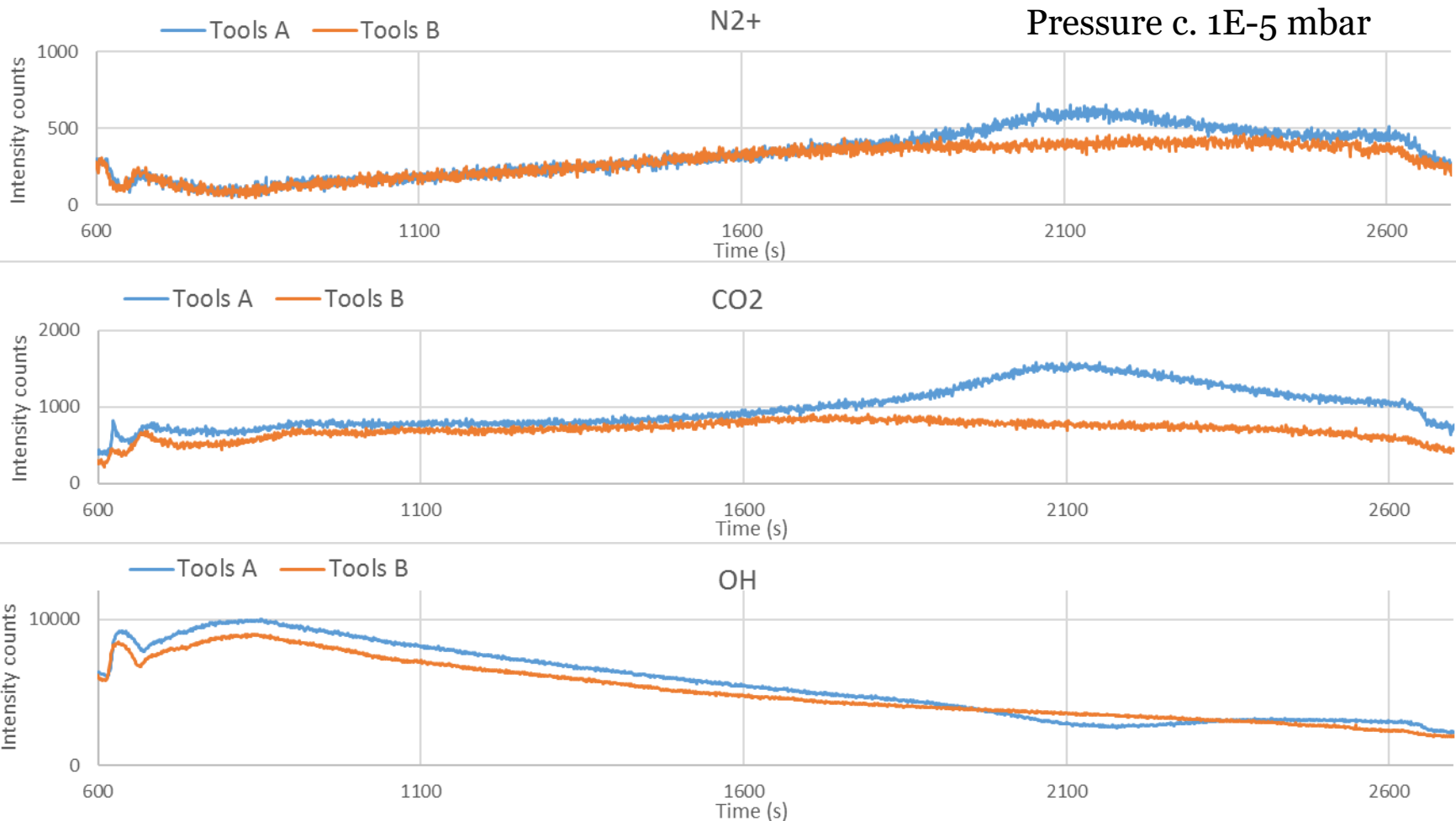




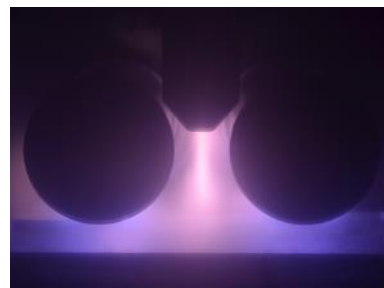
# OPTIX gas measurement during heating phase of an Arc based hard coating cycle



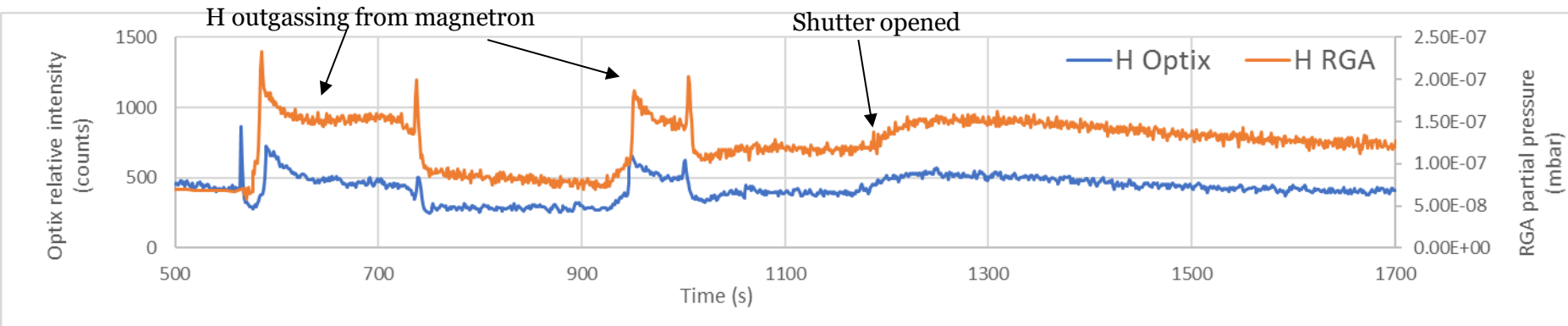
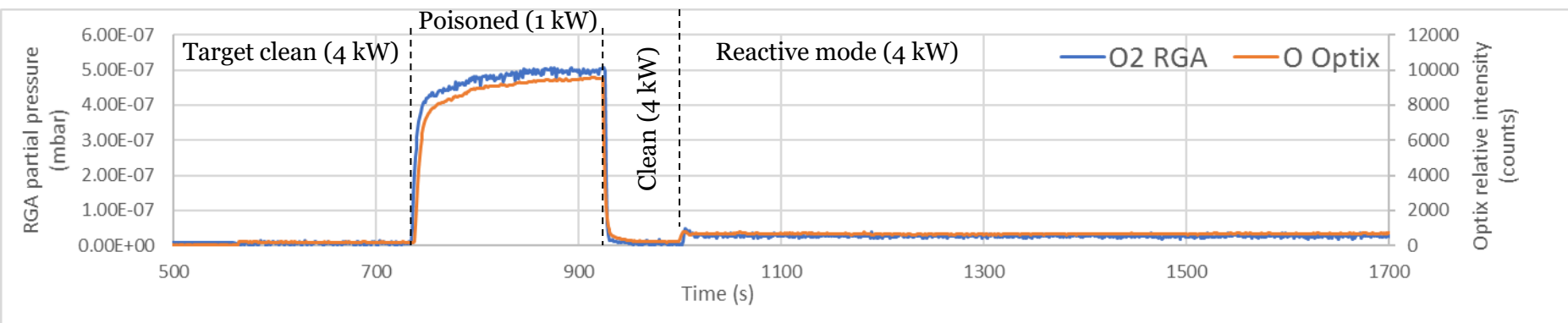
- Outgassing of species during heating phase prior to coating
- Comparison of outgassing between different tools



# OPTIX gas measurement during reactive sputtering, comparison with high pressure RGA

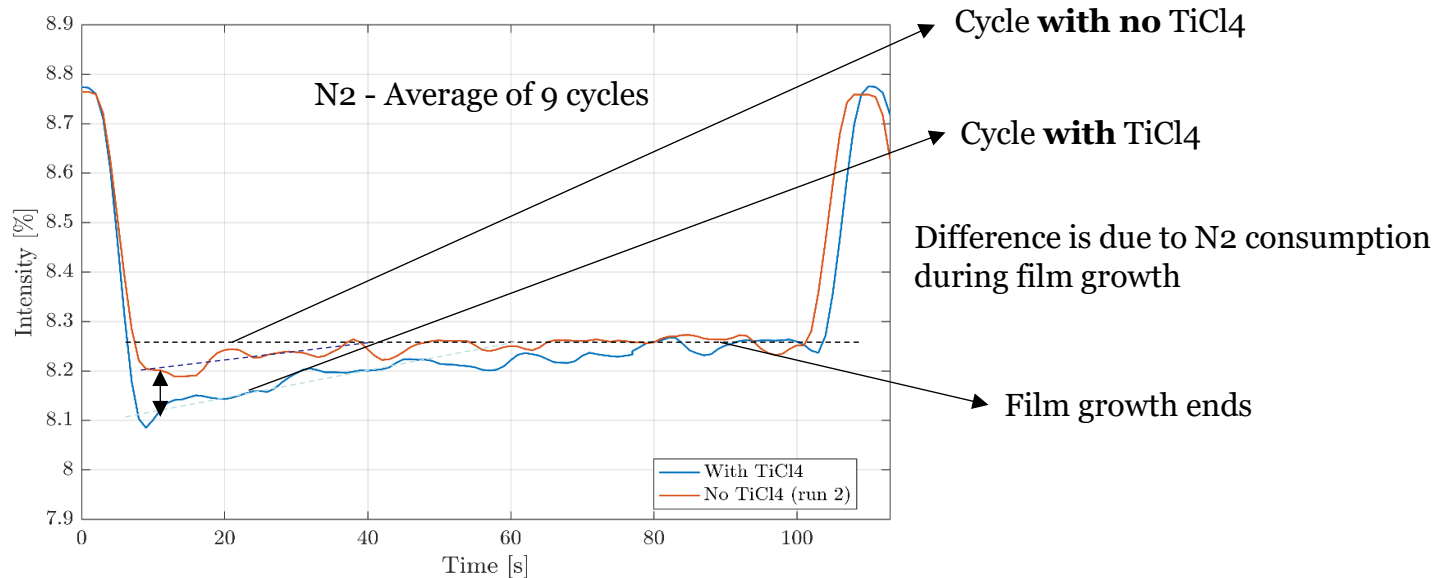
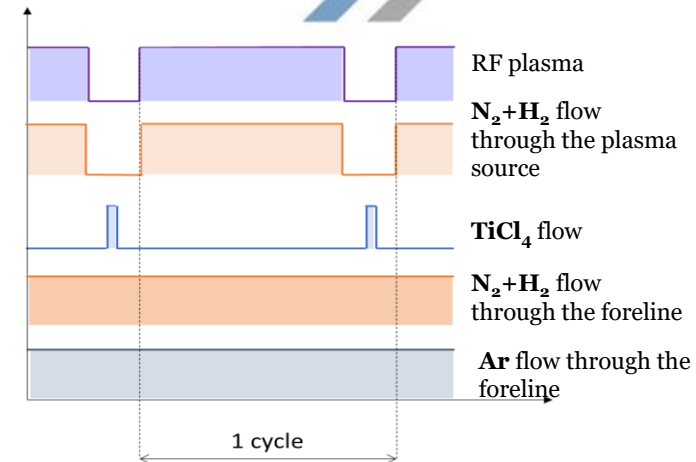
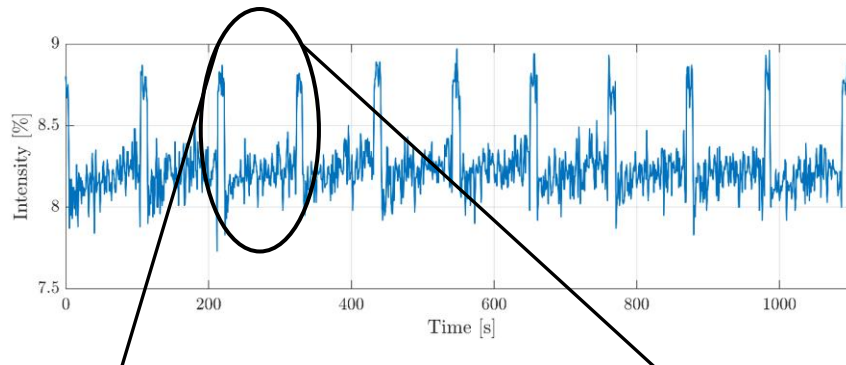


Reactive sputtering – process pressure  $4\text{E-}3$  mbar





## TiN deposition cycle – monitoring film growth



# OPTIX for ALD Deposition cycle monitoring

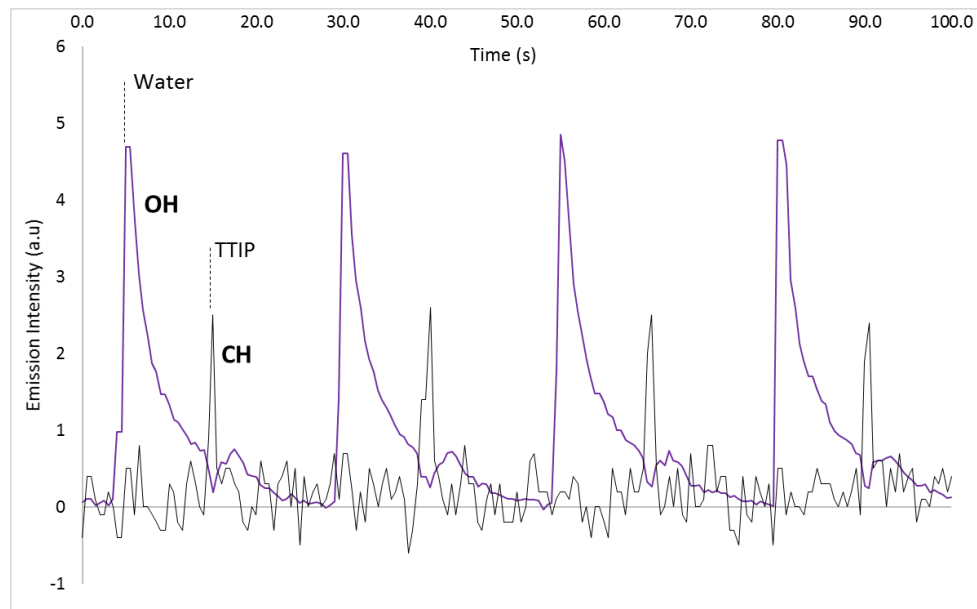


UNIVERSITY OF  
LIVERPOOL

Dr. Richard Potter  
and Ben Peek



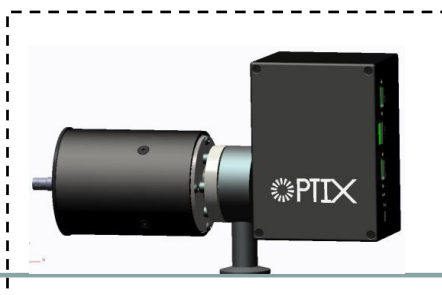
## TiO<sub>2</sub> deposition cycle monitoring



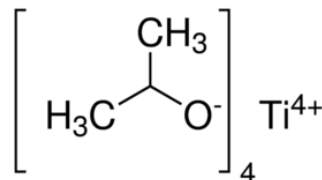
Precursor injection

ALD reactor  
c. 100 mTorr

Heated 90°C



Titanium  
isopropoxide  
(TTIP)



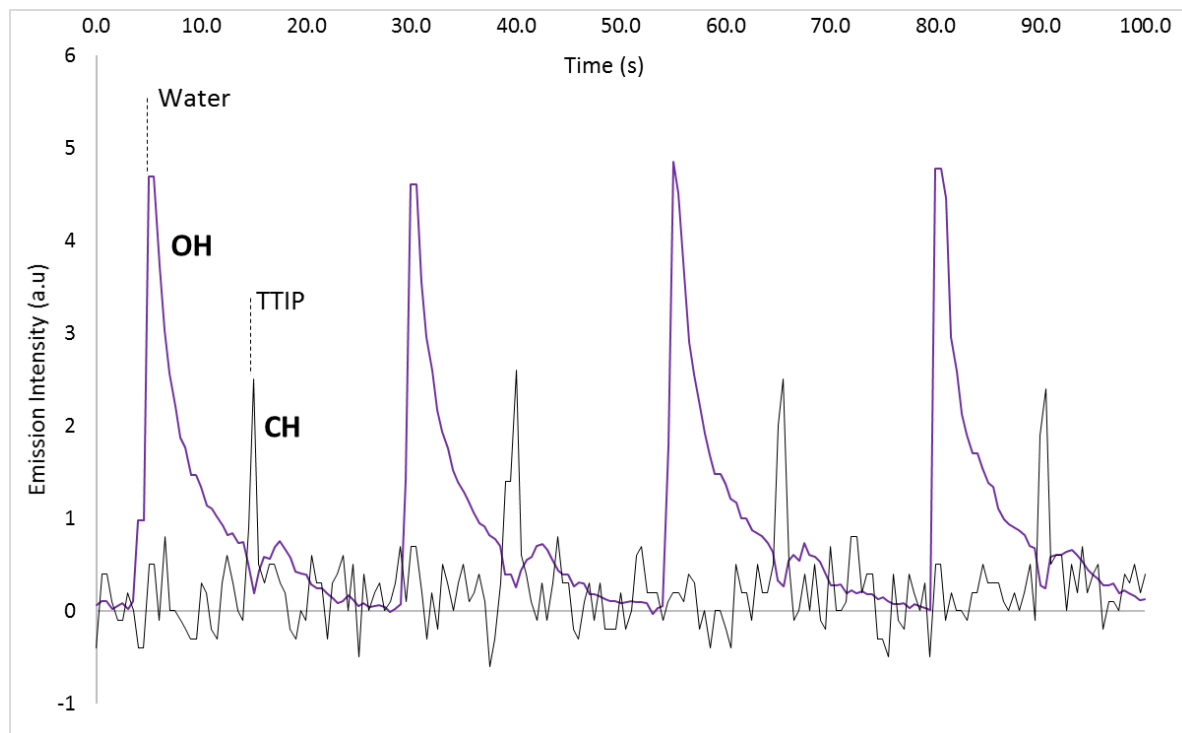
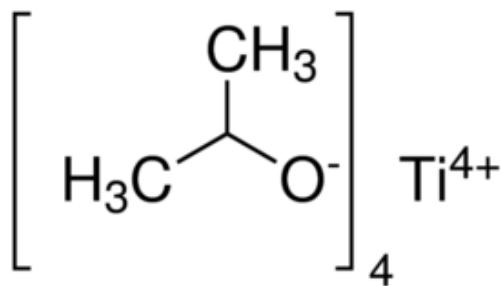
Mechanical pump

# ALD Deposition cycle monitoring

## TiO<sub>2</sub> deposition cycle monitoring

- Precursor A – Water vapour
- Precursor B - Titanium isopropoxide (TTIP)
- Water observed via OH (309.6 nm) and TTIP via CH (387 nm)
- 25s cycle time, 2000 cycles, 13 hours for complete process

Titanium isopropoxide  
(TTIP)





# OPTIX for ALD Deposition cycle monitoring

- An increase in CH observed as process progresses
- The amount of OH observed after TTIP injection decreases with time
- OH observed after TTIP likely indicator of TTIP/Surface reaction by-products

- Precursor A – Water vapour
- Precursor B - Titanium isopropoxide (TTIP)
- Water observed via OH (309.6 nm) and TTIP via CH (387 nm)
- 25s cycle time, 2000 cycles, 13 hours for complete process



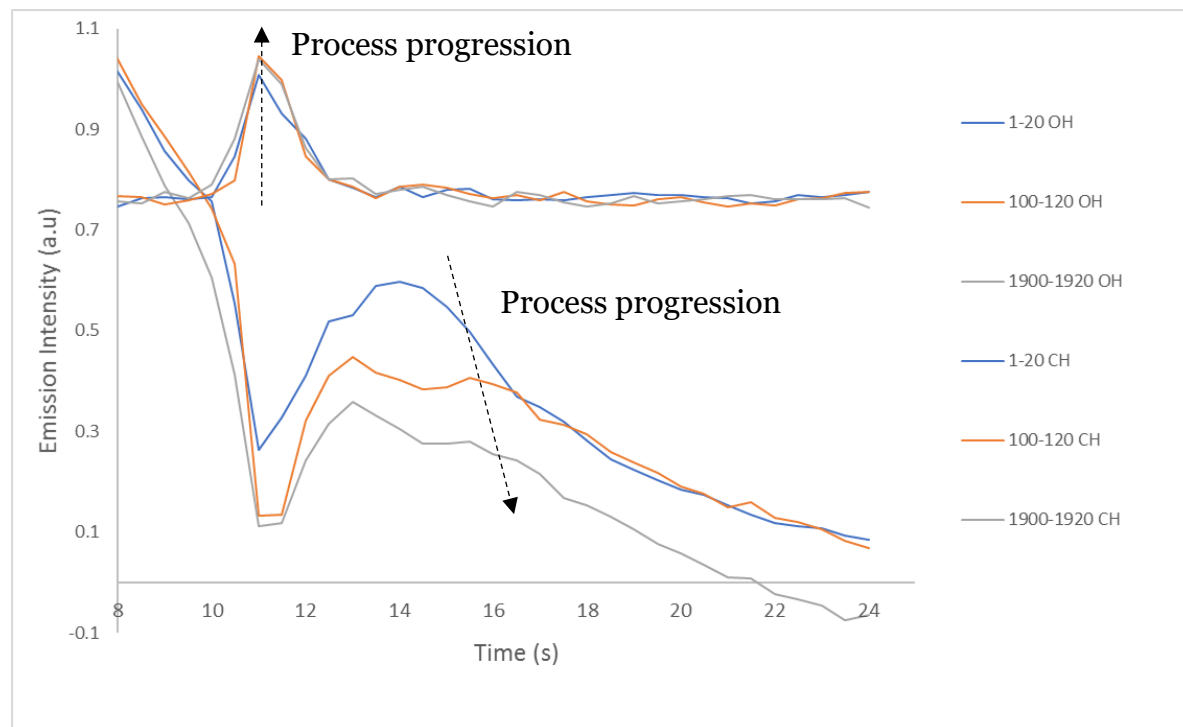
Start (cycles 1-20)



Mid (cycles 100-120)



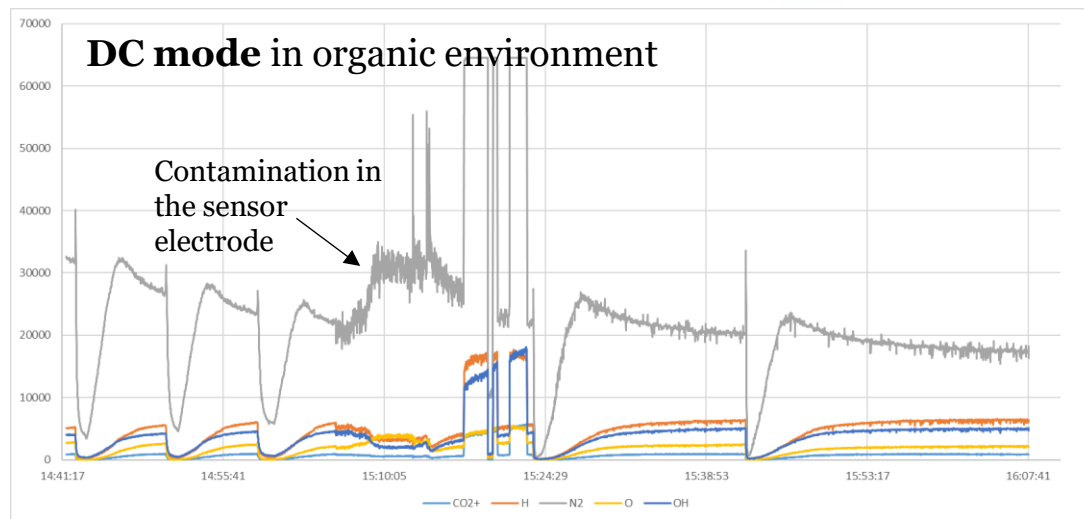
End (cycles 1900-1920)



# OPTIX has two possible power modes DC & Pulsed Mode

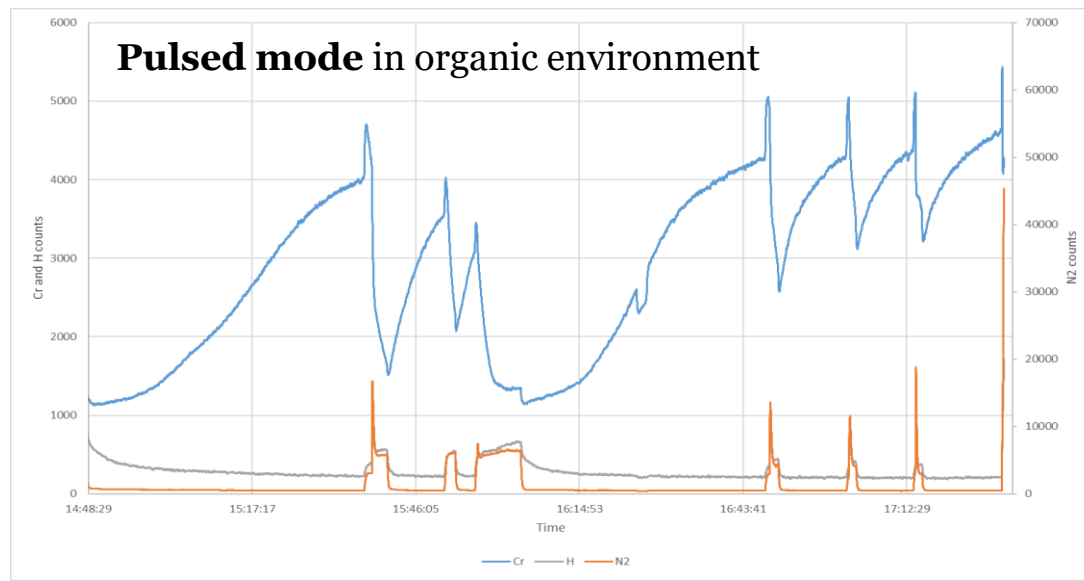
Hydrocarbon contamination prevention via patented pulsed power mode

DC mode operation results in eventual contamination of the sensor's electrodes – resulting in unstable operation



Pulsed mode operation is able to continuously “sputter clean” the electrodes resulting in stable operation over time

**PULSED MODE** is recommended for all process with high levels of volatile species – ALD, CVD, MOCVD, OLED

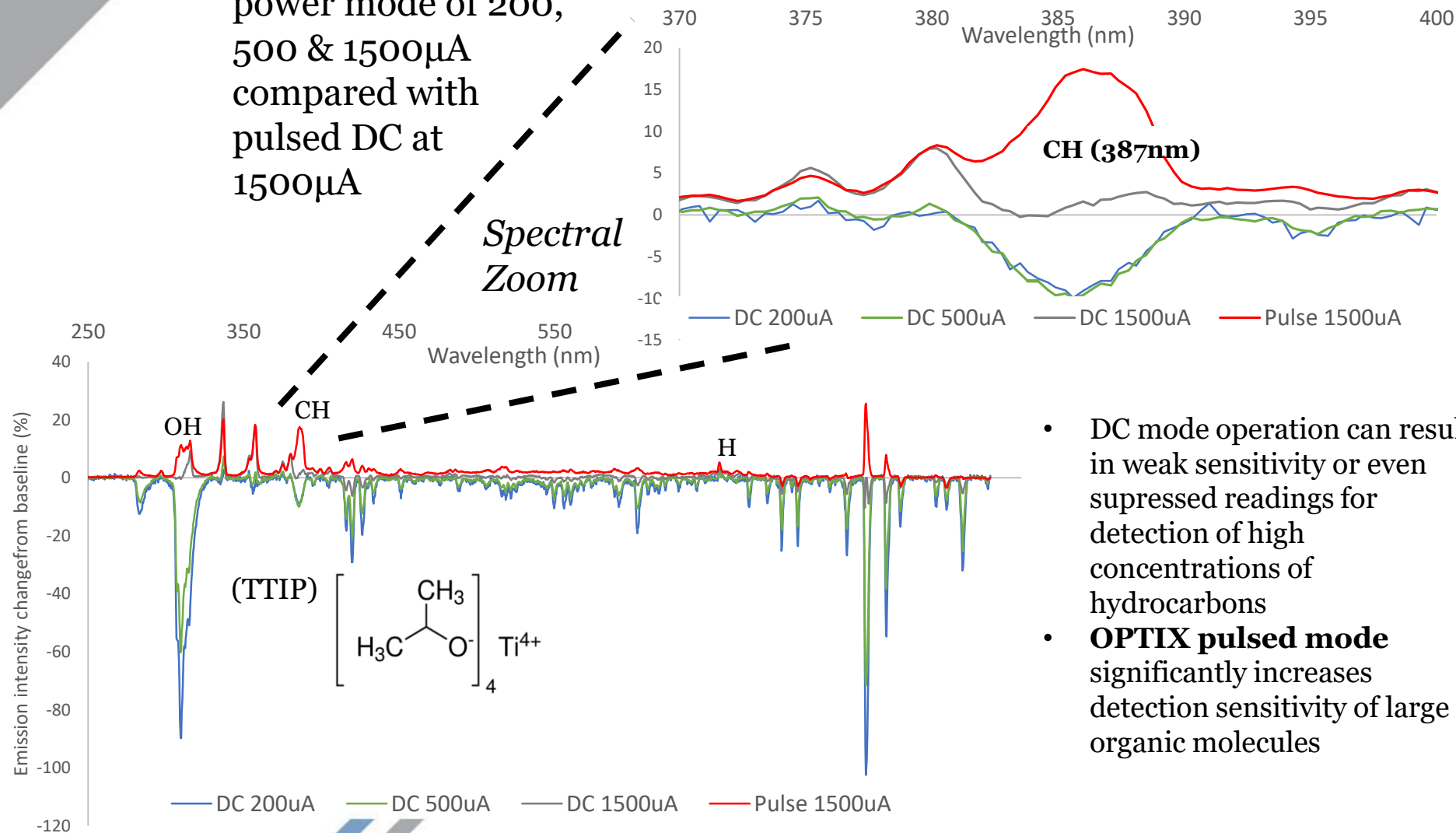


# OPTIX Pulsed Mode

## Organics sensitivity enhancement

**OPTIX** plasma generation by DC power mode of 200, 500 & 1500 $\mu$ A compared with pulsed DC at 1500 $\mu$ A

*Spectral Zoom*

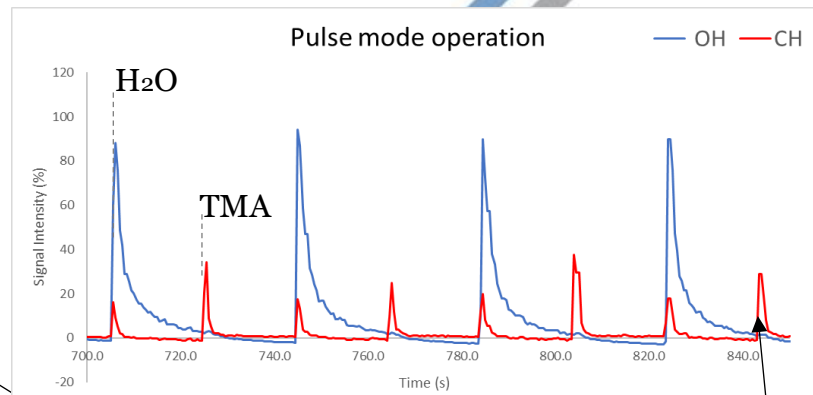
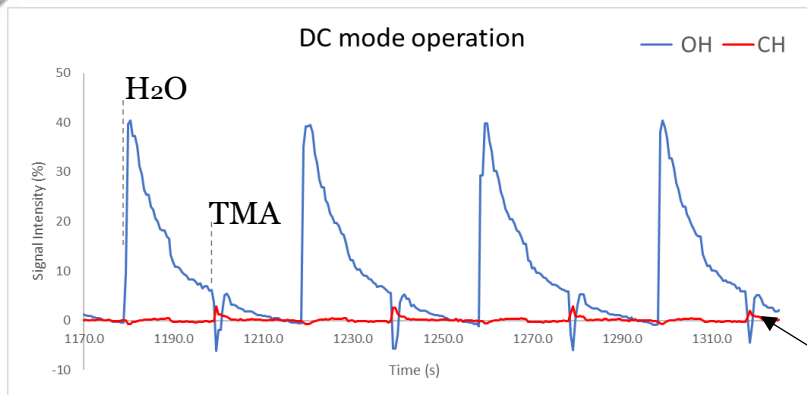


- DC mode operation can result in weak sensitivity or even suppressed readings for detection of high concentrations of hydrocarbons
- **OPTIX pulsed mode** significantly increases detection sensitivity of large organic molecules

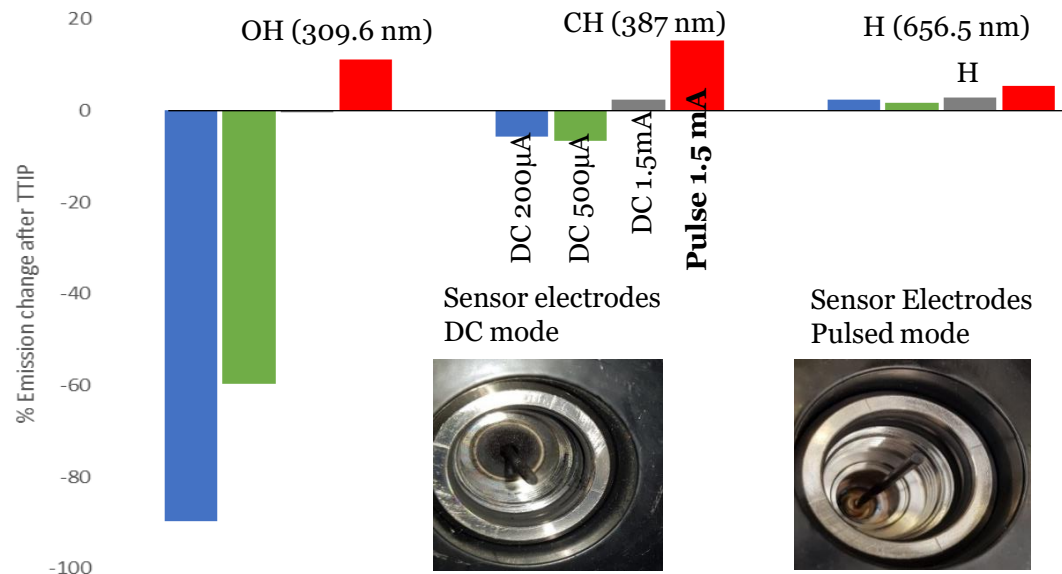
# OPTIX Pulsed Mode

## Organics sensitivity enhancement

- **Optix pulsed mode** significantly increases detection sensitivity of large organic molecules



### Signal change after injection of TTIP



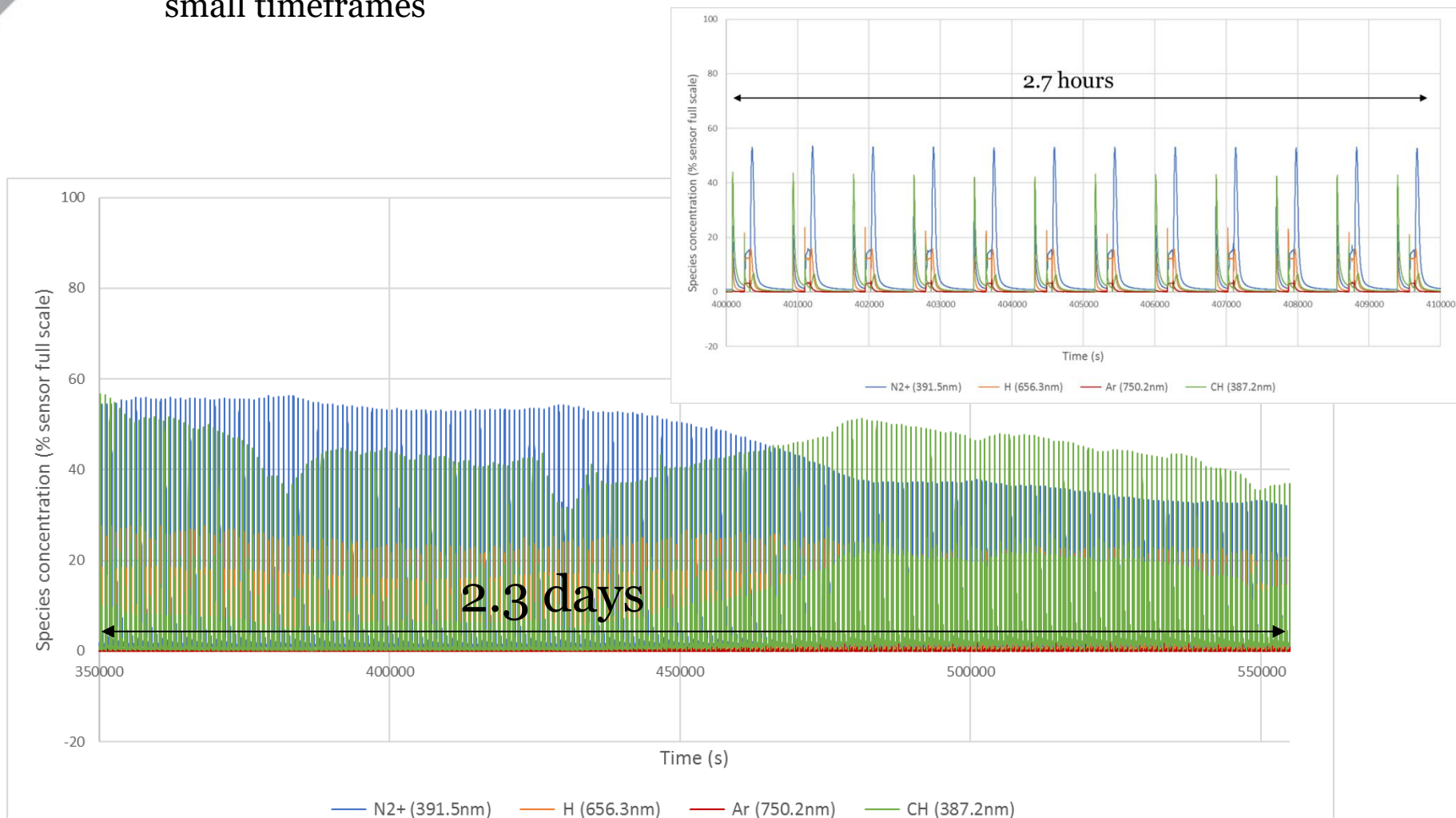
Very weak CH reading from TMA with DC mode – CH reaction by product not detected

Strong CH reading from TMA detected with pulsed DC mode - CH from methane reaction by product detected

# OPTIX for ALD Deposition cycle monitoring

## Deposition of Nb Metal via PEALD

- Sensor over the 2+ day deposition cycle displays variations in the process over a longer period which aren't present for small timeframes





# OPTIX for outgassing measurement during carbon sputter coating process

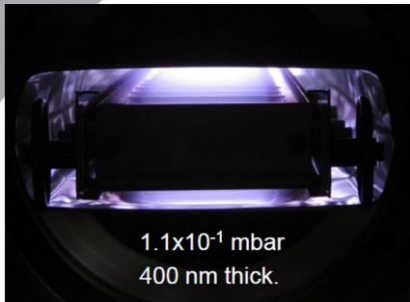
Courtesy of CERN Vacuum Surfaces and Coatings Group

Carbon sputtered coating deposited on particle accelerator inner surface to reduce secondary electron yield

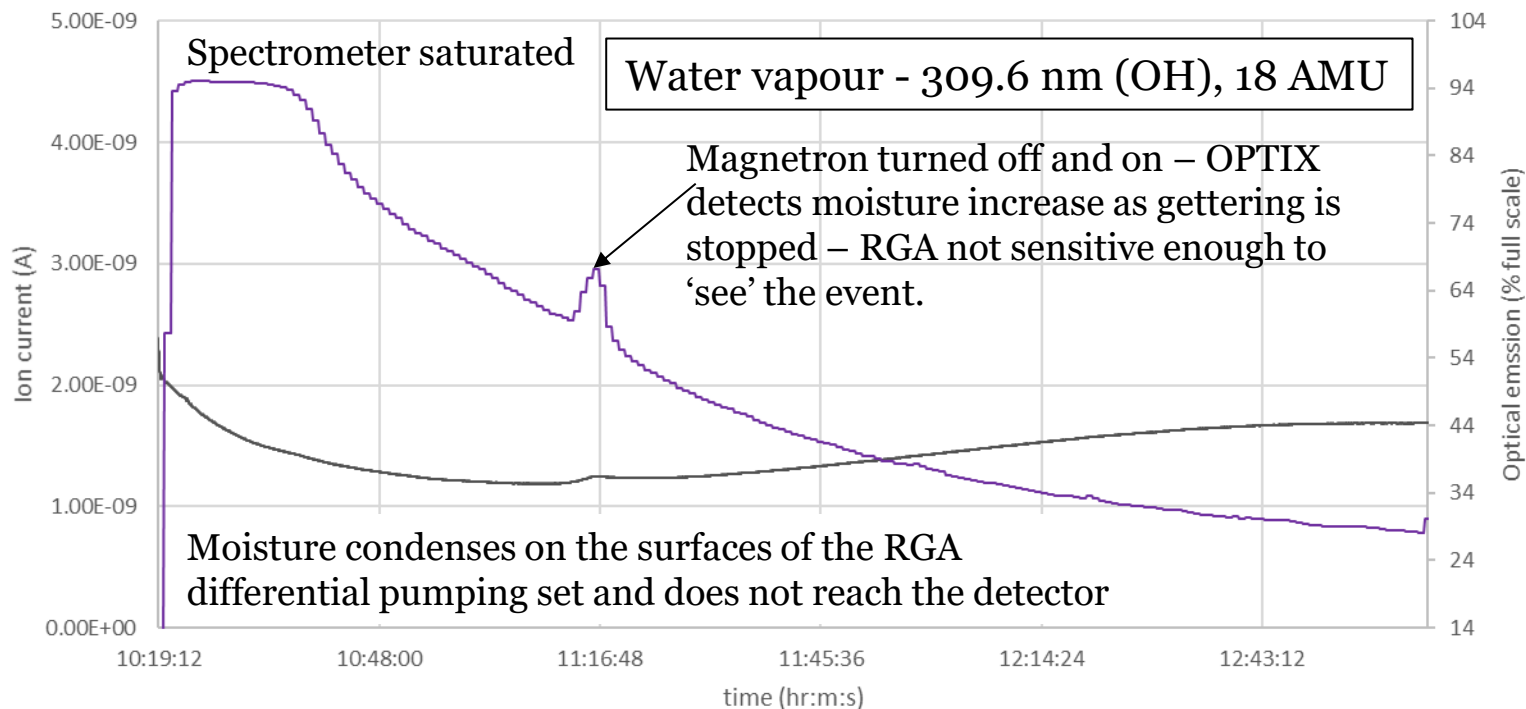
Deposition pressure at  **$1.1\text{E-}1$  mbar**

Performance of coating is sensitive to the presence of H outgassing from the magnetron environment

Objective to monitor H outgassing during the deposition



— RGA — Optix



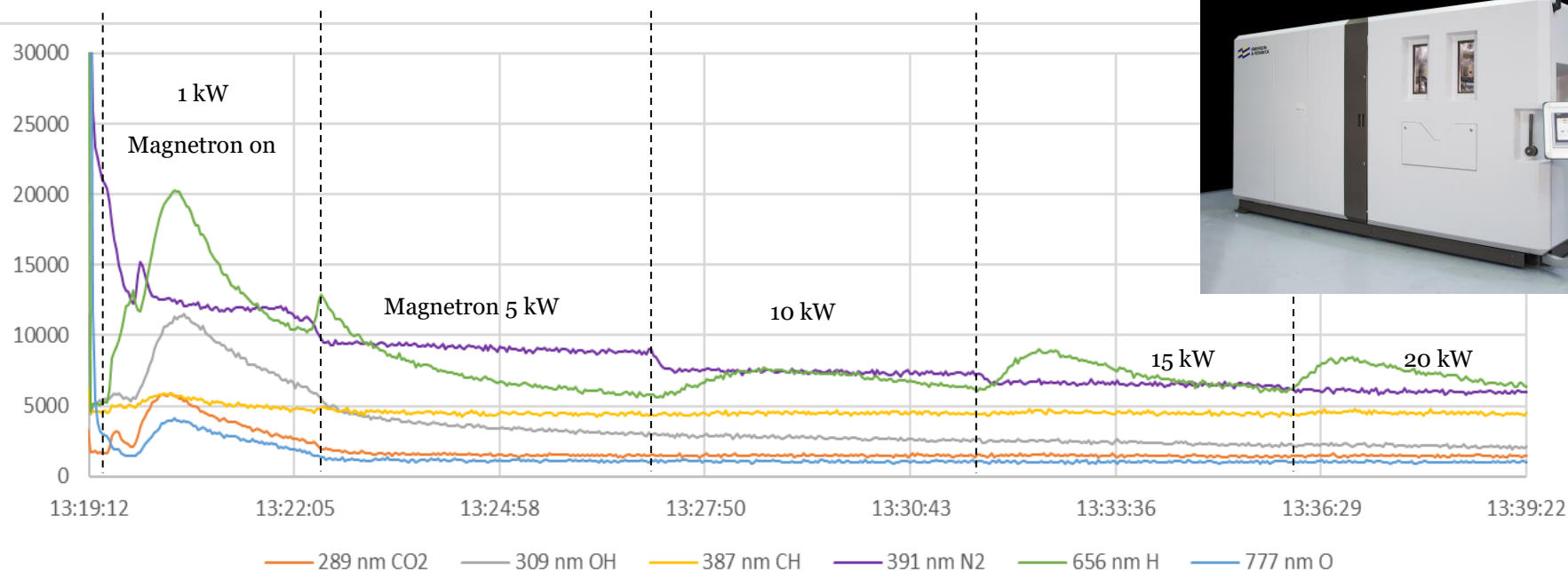
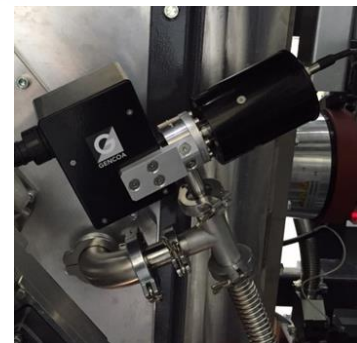
# OPTIX for Web Coating

Pump down monitoring, Web material plasma pre-treatment characterising, and AlOx magnetron sputter deposition monitoring

**Innovate UK**  
Technology Strategy Board

**EMERSON & RENWICK**  
THE ART OF ENGINEERING

- Roll-to-roll deposition of reactively sputtered AlOx onto 125µm PET
- Optix sensor teed with a differentially pumped RGA



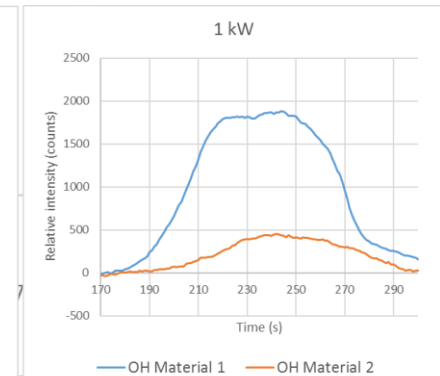
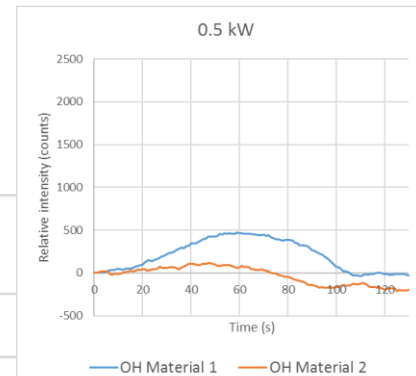
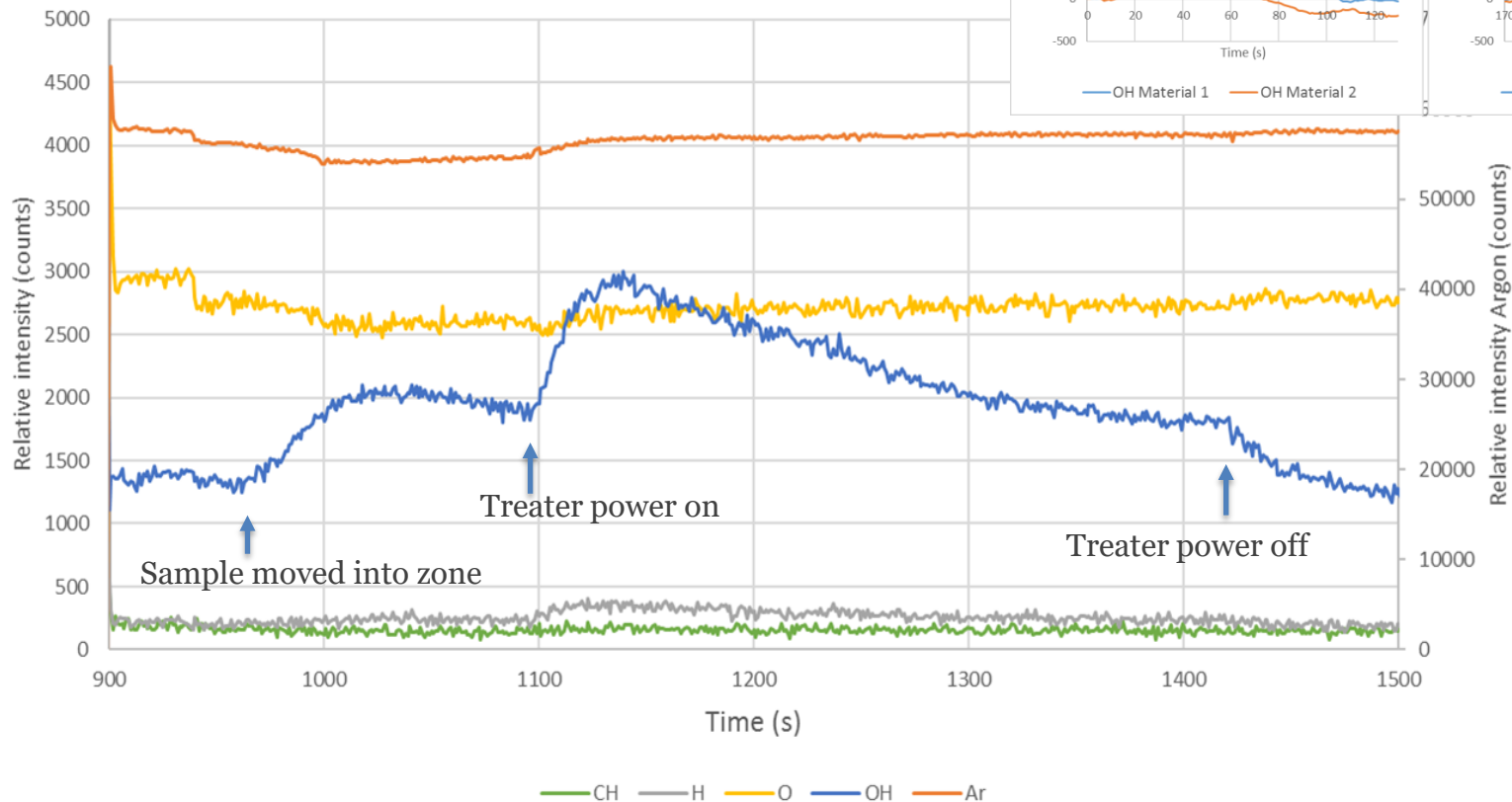
# OPTIX monitoring plasma pre-treatment of plastic web based substrate

- Moisture liberation measured at different treater powers
- OPTIX can also compare moisture liberation from different materials



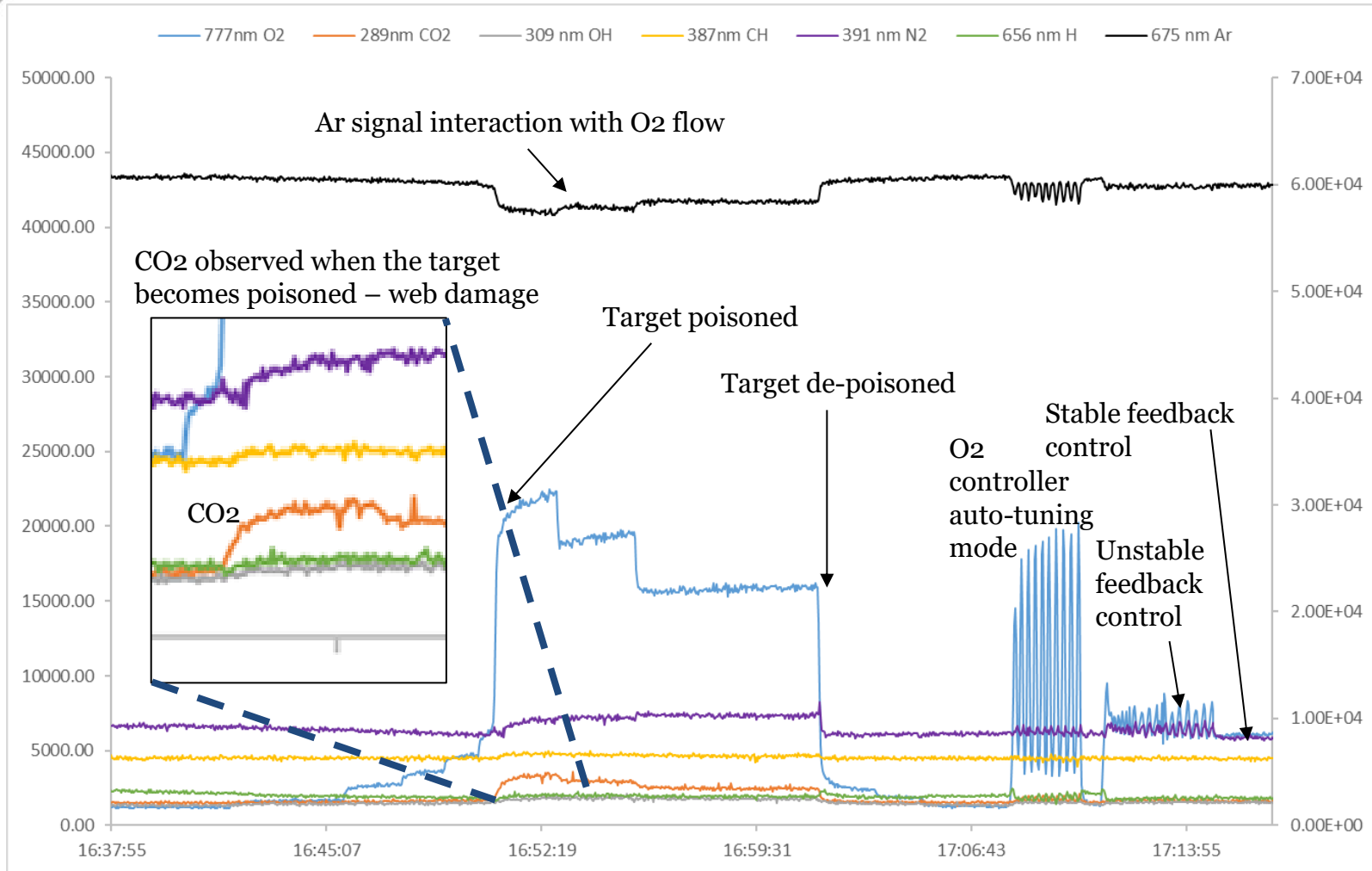
THE ART OF ENGINEERING

Web static plasma treatment



# OPTIX for reactive sputtering of $\text{AlO}_x$ on roll-to-roll web coater

## Reactive sputter characterisation



# OPTIX CO<sub>2</sub> monitoring to determine reasons for CO<sub>2</sub> liberation in poisoned mode

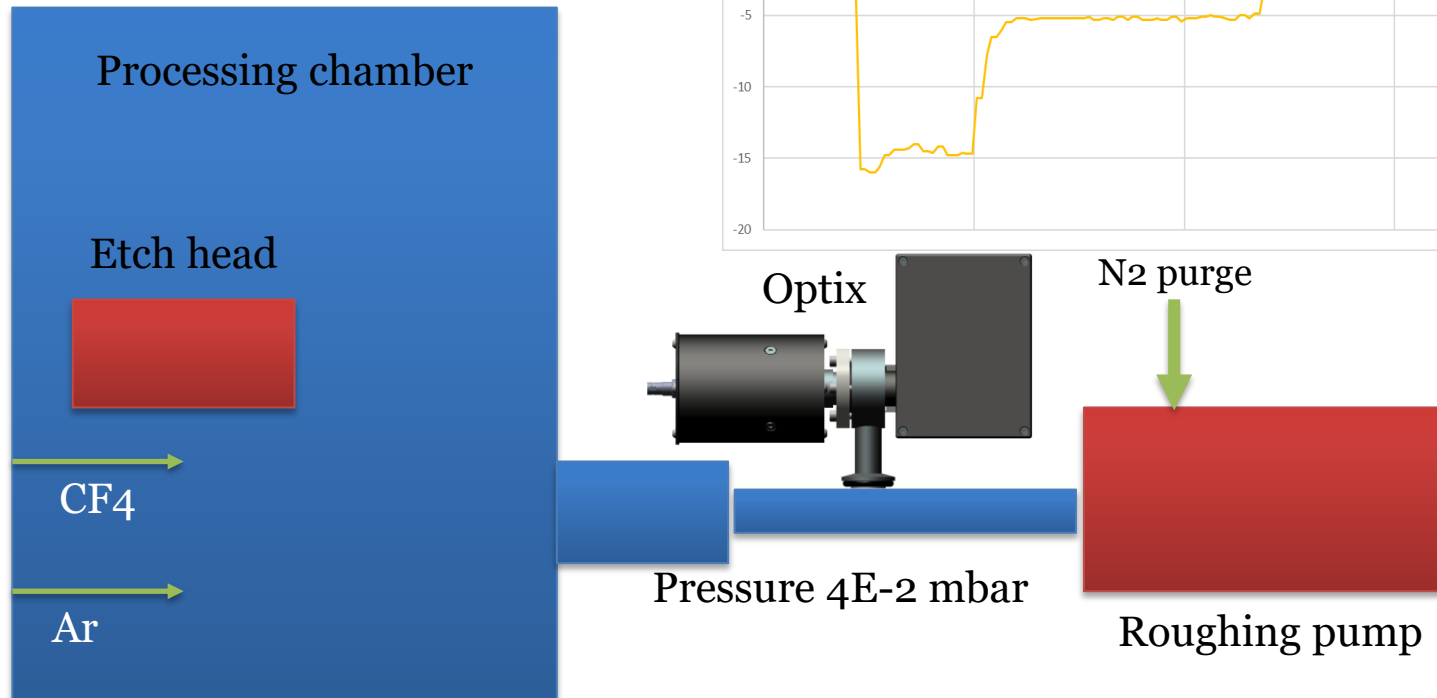
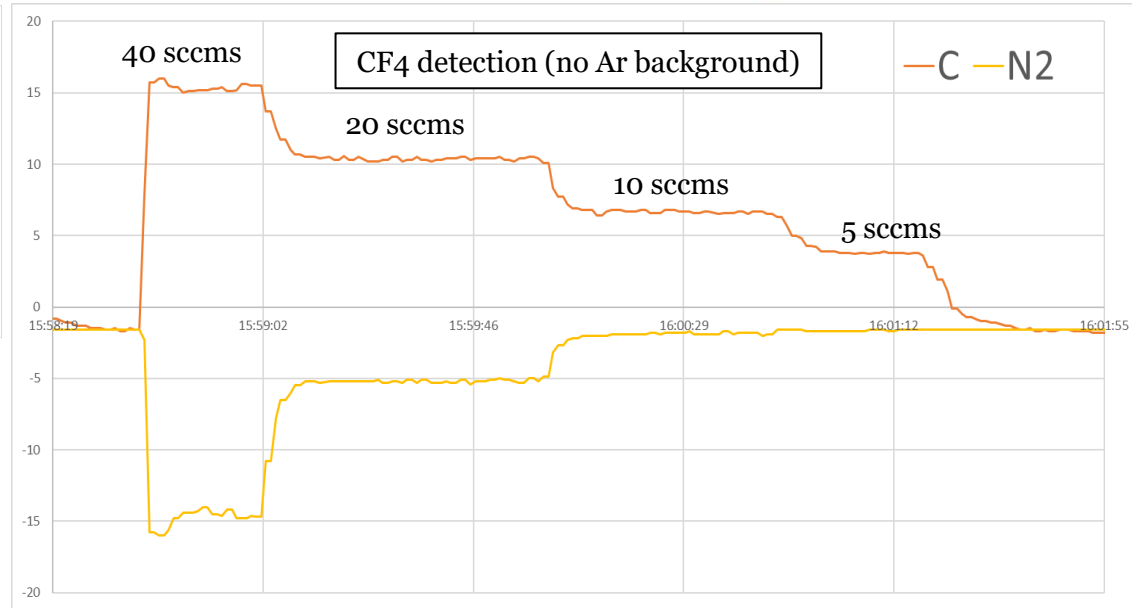
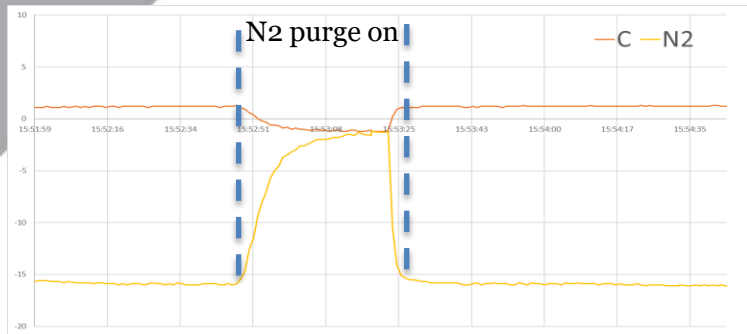
- Web speed varied to determine the source of the CO<sub>2</sub>
  - No influence = cathode
  - Influence = web
- Strong influence of web speed observed
- Inverse effect on O<sub>2</sub> observed



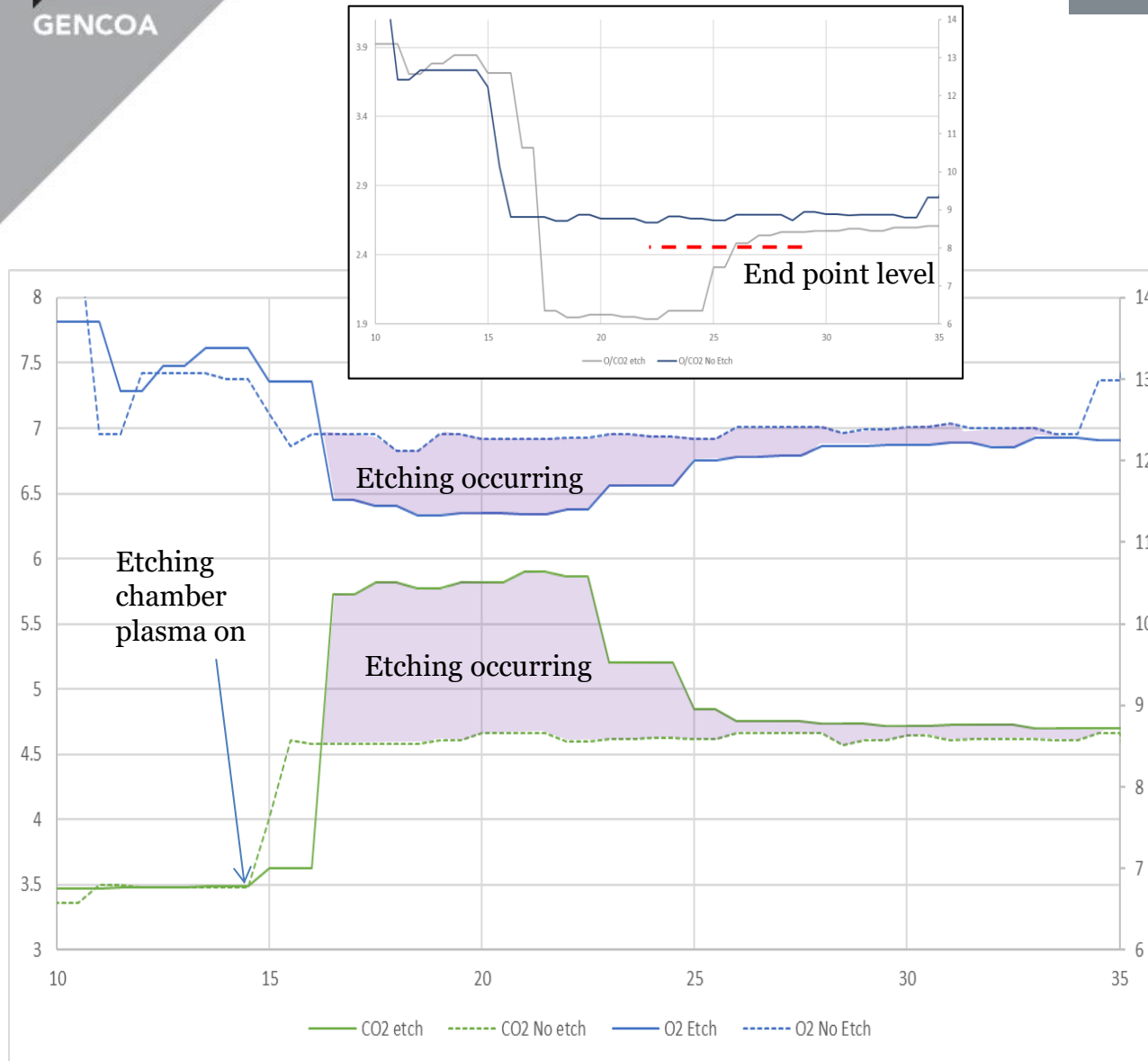


# OPTIX for a reactive ion etch process

Detection of reactive ion etching effluent in the process backing line

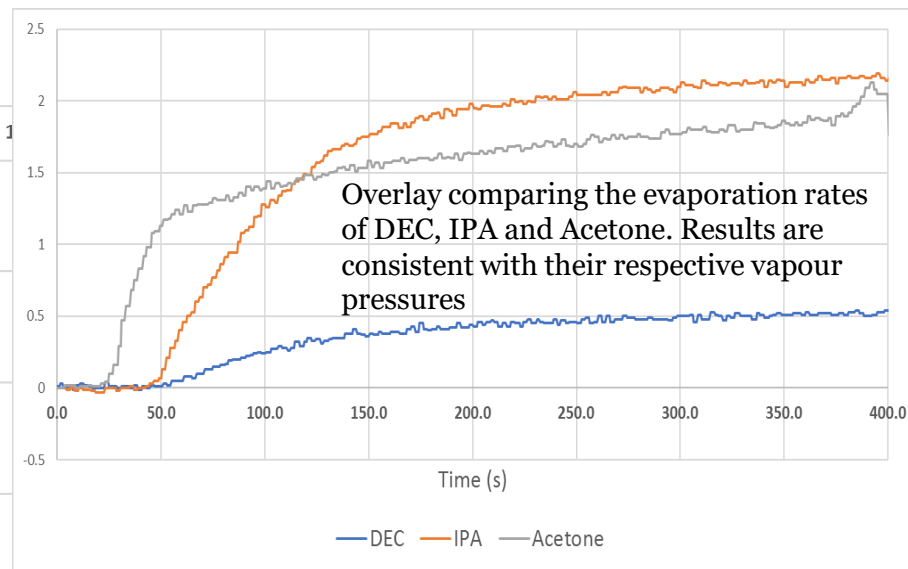
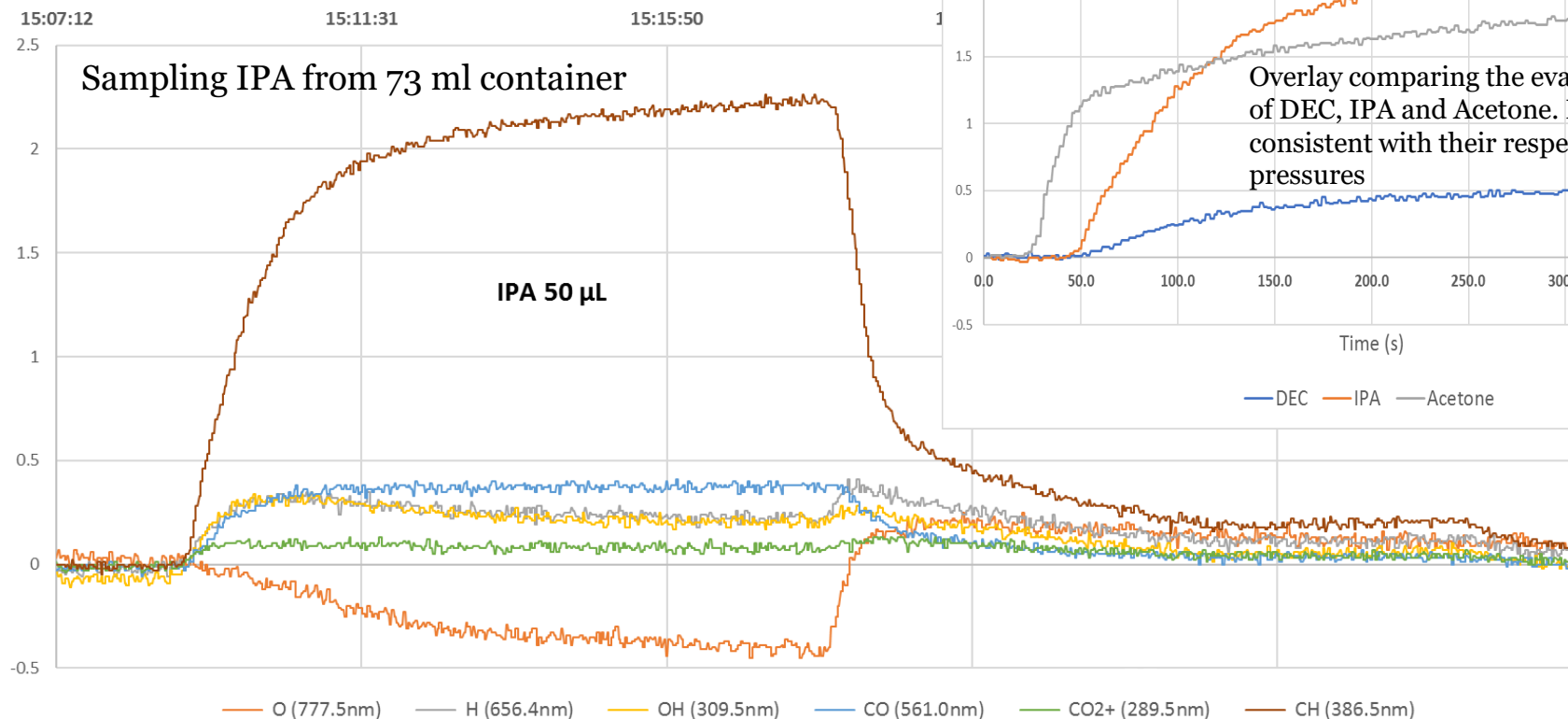
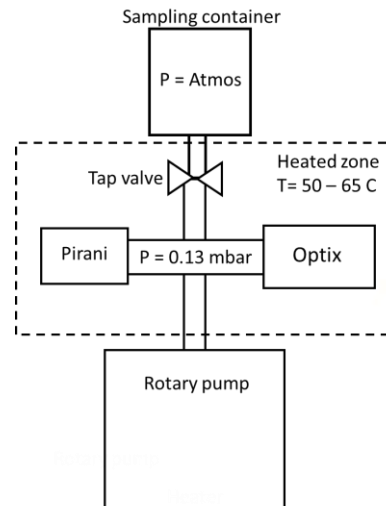


# OPTIX for an etch process end point detection



# OPTIX for atmospheric chemical sensing

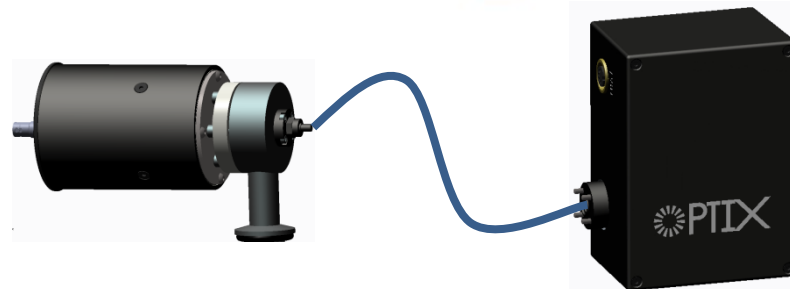
- Base pressure with valve closed  $1\text{E}-2$  mbar
- All tests at  $300\text{ }\mu\text{A}$  DC plasma sensor power
- Optix plasma generator and sampling system heated to  $50\text{C}$
- Solvent container **not** heated



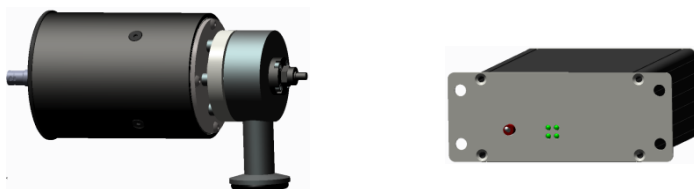
# Hardware Configurations Options



Standard OPTIX package, plasma generator with power supply (DC as standard, pulsed DC as an option) with Spectrometer head and OPTIX software package / cables



Standard OPTIX package, with optional optical fiber link between sensor and spectrometer – increases flexibility of the package – use items separately

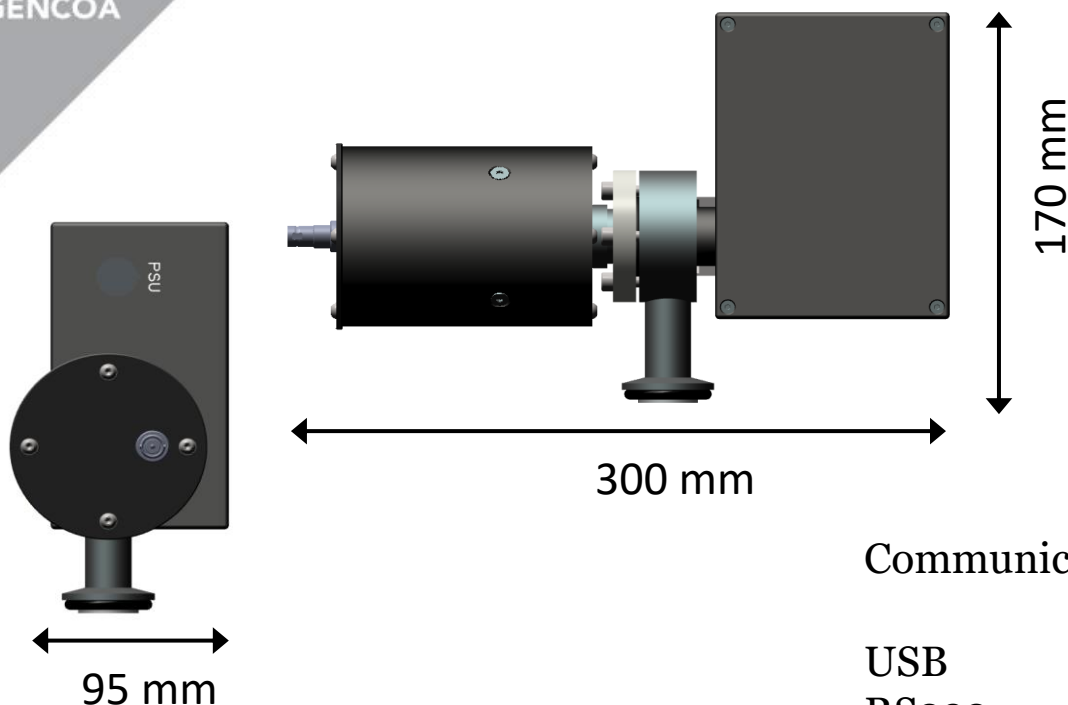


Plasma generator with power supply (DC as standard, pulsed DC as an option) and cables – generates an intense plasma over a wide pressure range – can link to Speedflo or other control platforms

Spectrometer head with OPTIX software package – take advantage of the OPTIX software suite to manage your plasma monitoring and take advantage of the communication and trigger facilities



## Size & Communication Options



Optix sensor: 300mm x 170mm x 95mm

PSU: 165 mm x 105mm x 55mm

Sensor weight: 2.2kg

Vacuum connection: KF25 flange

Mounting orientation: Any



### Communication interfaces

USB

RS232

Ethernet

Digital relay output x 4

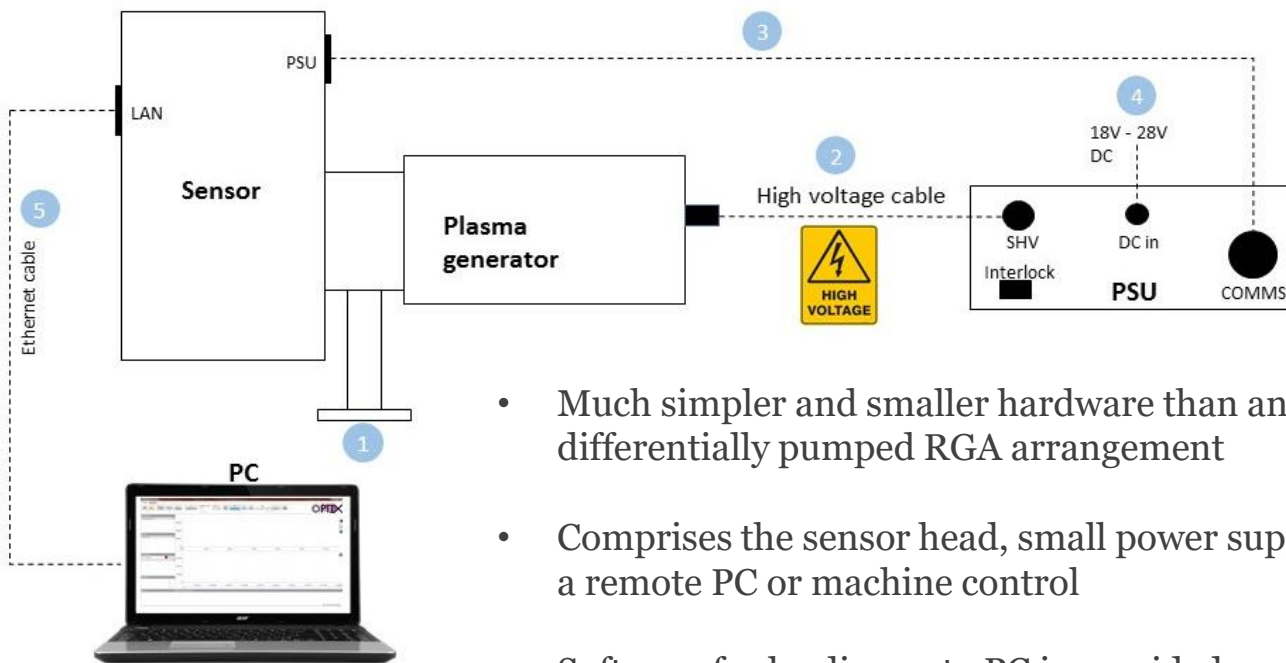
Optional PLC communication interface

Software Windows 7, 8 and 10 compatible

Electrical Input voltage: 15V  
 Input power: 20W typical  
 Output voltage: 3kV max  
 Output current: 1.5 mA max

### Operating data

Total pressure operating range:  $1 \times 10^{-6}$  mbar – 0.5 mbar  
 Sensitivity: 50 ppm air in argon at  $1.6 \times 10^{-2}$  total pressure  
 Spectral range: 200nm – 900nm  
 Update speed: 5ms – 5 seconds (depending on sensitivity selected)  
 Total pressure measurement: Integrated ( $1 \times 10^{-6}$  mbar –  $1 \times 10^{-2}$  mbar measurement range)  
 Electronics maximum operating temperature: 40°C



- Much simpler and smaller hardware than an differentially pumped RGA arrangement
- Comprises the sensor head, small power supply and a remote PC or machine control
- Software for loading onto PC is provided





# OPTIX – making Residual Gas Analysis easy for industrial processes

- Highly robust and easy to use – no filaments to replace & easy to use software
- OPTIX can work at all process pressures – no need to differentially pump unless atmospheric sensing
- Highly mobile – can be carried in a small bag for on-site trouble shooting
- OPTIX is less sensitive to contamination than RGA's, can be used for 'dirty' hydrocarbon environments as well as etch, CVD and ALD type processes
- This sensing technique offers a lower cost and lower complexity solution than alternative methods
- Can link directly to Speedflo reactive gas controller or PLC for feedback control